

10-13-00

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS:

James Agutter
Dwayne R. Westenskow
Noah Syroid
Julio C. Bermudez
Yinqi Zhang

ASSIGNEE:

University of Utah

SERIAL NUMBER:

n/a

DATE FILED:

n/a

TITLE:

METHOD AND APPARATUS FOR MONITORING DYNAMIC
CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL
REPRESENTATIONS OF CRITICAL FUNCTIONS

ATTORNEY DOCKET: 4315 P

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, DC 20231

COVER LETTER

Honorable Assistant Commissioner:

Enclosed herewith please find the following documents comprising a United States patent application: (1) specification, claims and drawings, (2) fee calculation sheet, (3) fee, (4) declaration of inventor, (5) statements of small entity status, (6) information disclosure statement, and (7) return receipt postcard.

Because the inventors are presently unavailable, the declarations, including the small entity status, are submitted unsigned. Applicant intends to file signed declarations including the declarations claiming small entity status within the permitted time after receiving a Notice of Missing Parts.

Respectfully submitted this 10th day of October, 2000.


Lloyd W. Sadler

Reg. No. 40,154

MCCARTHY & SADLER, LC

JC932 U.S. PTO
09/689225

10/10/00

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JC932 U.S. PTO

09/689225 10/10/00

Variable	Mean	SD	Min	Max	Skewness	Kurtosis	Normality
Age	34.5	12.5	18	65	0.1	3.0	0.95
Gender	1.2	0.4	1	2	0.0	3.0	0.99
Marital Status	1.5	0.5	1	3	0.0	3.0	0.99
Education	12.5	2.5	9	16	0.1	3.0	0.95
Income	1500	500	500	3000	0.2	3.0	0.90
Occupation	1.8	0.6	1	3	0.0	3.0	0.99
Health Status	1.5	0.5	1	3	0.0	3.0	0.99
Stress Level	2.5	1.0	1	4	0.1	3.0	0.95
Life Satisfaction	3.5	1.0	1	5	0.1	3.0	0.95
Resilience	3.0	1.0	1	5	0.1	3.0	0.95
Emotional Stability	3.0	1.0	1	5	0.1	3.0	0.95
Physical Health	3.0	1.0	1	5	0.1	3.0	0.95
Mental Health	3.0	1.0	1	5	0.1	3.0	0.95
Social Support	3.0	1.0	1	5	0.1	3.0	0.95
Life Events	2.0	1.0	1	4	0.1	3.0	0.95
Life Satisfaction	3.5	1.0	1	5	0.1	3.0	0.95
Resilience	3.0	1.0	1	5	0.1	3.0	0.95
Emotional Stability	3.0	1.0	1	5	0.1	3.0	0.95
Physical Health	3.0	1.0	1	5	0.1	3.0	0.95
Mental Health	3.0	1.0	1	5	0.1	3.0	0.95
Social Support	3.0	1.0	1	5	0.1	3.0	0.95
Life Events	2.0	1.0	1	4	0.1	3.0	0.95

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INVENTORS: James Agutter
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FEE CALCULATION SHEET

Honorable Assistant Commissioner:

The fee for the accompanying patent application is calculated as follows:

Basic Filing Fee (small entity)	\$ 355.00
Independent claims in excess of three	\$ 0.00
(0 x 40.00 each)	
Claims in excess of twenty	\$ 0.00
(0 x 9.00)	
Recordation of Assignment	\$ 0.00
Recordation of Assignment	\$ 0.00
 TOTAL	 \$ 355.00

A check for this amount is enclosed.

Respectfully submitted this 10th day of October, 2000.

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**VERIFIED STATEMENT (DECLARATION)
CLAIMING SMALL ENTITY STATUS**

**--INDEPENDENT INVENTOR--
(37 CFR 1.9(c), (f) and 1.27(b))**

Honorable Assistant Commissioner:

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR § 1.9(c) for the purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **METHOD AND APPARATUS FOR MONITORING DYNAMIC CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS OF CRITICAL FUNCTIONS** described in a patent application filed herewith.

I have not assigned, granted, conveyed or licensed and I am not under any obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

[illegible]

Signature of Inventor: _____

Date: _____

Signature of Inventor: _____

Name of Inventor: Dwayne R. Westenskow

Date: _____

Signature of Inventor: _____

Name of Inventor: Noah Syroid

Date: _____

Signature of Inventor: _____

Name of Inventor: Julio C. Bermudez


Date: _____

Signature of Inventor: _____

Name of Inventor: Yinqi Zhang

Date: _____

At the time of filing this patent application, the inventors are unavailable for endorsing this form. However, the attorney submitting this application, Lloyd W. Sadler, Reg. No. 40,154, has been verbally assured that they qualify as independent inventors for small entity status. The applicant intends to file a properly endorsed statement (declaration) of independent inventors – small entity status upon receipt of a Notice of Missing Parts.


Lloyd W. Sadler (Reg. No. 40,154)

2007-03-26-09:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: James Agutter
Dwayne R. Westenskow
Noah Syroid
Julio C. Bermudez
Yinqi Zhang

ASSIGNEE: University of Utah

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**VERIFIED STATEMENT (DECLARATION)
CLAIMING SMALL ENTITY STATUS**

**--SMALL BUSINESS CONCERN--
(37 CFR 1.9(f) AND 1.27(c))**

Honorable Assistant Commissioner:

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern identified below and that I am
empowered to act on behalf of said corporation:

NAME OF CONCERN: University of Utah

ADDRESS OF CONCERN: 421 Wakara Way, Suite 170

Salt Lake City, Utah 84108

I hereby declare that the above organization qualifies as a nonprofit organization as defined in 37 CFR § 1.9(f) and § 1.27(d) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code in that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e).

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **METHOD AND APPARATUS FOR MONITORING DYNAMIC CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS OF CRITICAL FUNCTIONS** by the above-named inventors described in

- ☒ the specification filed with this declaration.
- ☐ application Serial No. _____, filed _____.
- ☐ Patent No. _____, issued _____.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d), or a nonprofit organization under 37 CFR § 1.9(e).

- ☐ no such person, concern or organization exists.
- ☒ each such person, concern or organization is listed below:

NAME: University of Utah Research Foundation
ADDRESS: 210 Park Building
Salt Lake City, Utah 84112

- ☐ INDIVIDUAL
- ☐ SMALL BUSINESS ENTITY
- ☒ NONPROFIT ORGANIZATION

I acknowledge the duty of the small business concern to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the due date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any


[illegible]

NAME OF PERSON SIGNING: _____

TITLE OF PERSON SIGNING: _____

SIGNATURE: _____ DATE: _____

At the time of filing this patent application, no officials of the University of Utah were available for endorsing this form. However, the attorney submitting this application, Lloyd W. Sadler, Reg. No. 40,154, has been verbally assured that the University of Utah qualifies for small entity status as a non-profit entity. The applicant intends to file a properly endorsed statement (declaration) of independent inventors – small entity status upon receipt of a Notice of Missing Parts. The applicants/inventors intend to execute an assignment to the University of Utah of their rights to this patent application and any ensuing patent as soon as they are available for endorsing such an assignment.


Lloyd W. Sadler (Reg. No. 40,154)

INVENTORS: James Agutter
Dwayne R. Westenskow
Noah Syroid
Julio C. Bermudez
Yinqi Zhang

ASSIGNEE: University of Utah

SERIAL NUMBER: n/a

DATE FILED: n/a

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--SMALL BUSINESS CONCERN--
(37 CFR 1.9(f) AND 1.27(c))

Honorable Assistant Commissioner:

I hereby declare that I am

the owner of the small business concern identified below:

☒ an official of the small business concern identified below and that I am empowered to act on behalf of said corporation:

NAME OF CONCERN: University of Utah Research Foundation

ADDRESS OF CONCERN: 210 Park Building

Salt Lake City, Utah 84112

I hereby declare that the above organization qualifies as a nonprofit organization as defined in 37 CFR § 1.9(f) and § 1.27(d) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code in that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e).

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **METHOD AND APPARATUS FOR MONITORING DYNAMIC CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS OF CRITICAL FUNCTIONS** by the above-named inventors described in

- ☒ the specification filed with this declaration.
- ☐ application Serial No. _____, filed _____.
- ☐ Patent No. _____, issued _____.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d), or a nonprofit organization under 37 CFR § 1.9(e).

- ☒ no such person, concern or organization exists.
- ☐ each such person, concern or organization is listed below:

NAME: _____

ADDRESS: _____


- ☐ INDIVIDUAL
- ☐ SMALL BUSINESS ENTITY
- ☐ NONPROFIT ORGANIZATION

I acknowledge the duty of the small business concern to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the due date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any

[illegible]

SIGNATURE: _____ DATE: _____


Lloyd W. Sadler (Reg. No. 40,154)

SPECIFICATION

To all whom it may concern:

Be it known that James Agutter, a citizen of the United States of America, Dwayne R. Westenskow, a citizen of the United States of America, Noah Syroid, a citizen of the United States of America, Julio C. Bermudez, a citizen of Argentina, and Yinqi Zhang, a citizen of the People's Republic of China, have invented a new and useful invention entitled "METHOD AND APPARATUS FOR MONITORING DYNAMIC CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS OF CRITICAL FUNCTIONS" of which the following comprises a complete specification.

This patent application is a continuation-in-part patent application of U.S. Patent Application Serial Number 09/457,068, which was filed on December 7, 1999, and which is presently pending before the United States Patent and Trademark Office. Priority is hereby claimed to all material disclosed in this parent case.

METHOD AND APPARATUS FOR MONITORING DYNAMIC CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS OF CRITICAL FUNCTIONS

Background of the Invention

Field of the Invention. This invention relates to the visualization, perception, representation and computation of data relating to the attributes or conditions constituting the health state of a dynamic system. More specifically, this invention relates to the display and computation of cardiovascular data, in which variables constituting attributes and conditions of a dynamic physiological system can be interrelated and visually correlated in time as three-dimensional objects.

Description of the Related Art. A variety of methods and systems for the visualization of data have been proposed. Traditionally, these methods and systems fail to present in a real-time multi-dimensional format that is directed to facilitating a user's analysis of multiple variables and the relationships between such multiple variables. Moreover, such prior methods and systems tend not to be specifically directed to display of a patient's cardiovascular system by showing such cardiovascular variables as blood pressure, blood flow, vascular tone and the like. Prior methods typically do not process and display data in real-time, rather they use databases or spatial organizations of historical data. Generally, they also simply plot existing information in two or three dimensions, but without using three-dimensional geometric objects to show the interrelations between data. Often previous systems and methods are limited to pie charts, lines or bars to represent the data. Also, many previous systems are limited to particular applications or

desirable that such a system and method include a graphic element that depicts the status of a patient's cardiovascular system by graphically showing blood pressure, blood flow, vascular tone and other cardiovascular variables. It is important that such a graphic element provide an anesthesiologist with the means to quickly assess the patient's status. It is also desirable that the element be comprised of subcomponents, which are linked together to show thereby the relationships of the various cardiovascular variables. Also, it is desirable that system and method be capable of analyzing time based, real-time, and historical data and that it be able to graphically show the relationships between various data.

Research studies have indicated that the human mind is better able to analyze and use complex data when it is presented in a graphic, real world type representation, rather than when it is presented in textual or numeric formats. Research in thinking, imagination and learning has shown that visualization plays an intuitive and essential role in assisting a user associate, correlate, manipulate and use information. The more complex the relationship between information, the more critically important is the communication, including audio and visualization of the data. Modern human factors theory suggests that effective data representation requires the presentation of information in a manner that is consistent with the perceptual, cognitive, and response-based mental representations of the user. For example, the application of perceptual grouping (using color, similarity, connectedness, motion, sound etc.) can facilitate the presentation of information that should be grouped together. Conversely, a failure to use perceptual principles in the appropriate ways can lead to erroneous analysis of information.

1 The manner in which information is presented also affects the speed and accuracy
2 of higher-level cognitive operations. For example, research on the “symbolic distance
3 effect” suggests that there is a relationship between the nature of the cognitive decisions
4 (for example, is the data increasing or decreasing in magnitude?) and the way the
5 information is presented (for example, do the critical indices become larger or smaller, or
6 does the sound volume or pitch rise or fall?). Additionally, “population stereotypes”
7 suggest that there are ways to present information that are compatible with well-learned
8 interactions with other systems (for example, an upwards movement indicates an
9 increasing value, while a downwards movement indicates a decreasing value).

10 Where there is compatibility between the information presented to the user and
11 the cognitive representations presented to the user, performance is often more rapid,
12 accurate, and consistent. Therefore, it is desirable that information be presented to the
13 user in a manner that improves the user’s ability to process the information and
14 minimizes any mental transformations that must be applied to the data.

15 Therefore, it is the general object of this invention to provide a method and
16 systems for presenting a three-dimensional visual and/or possibly an audio display
17 technique that assists a doctor in the monitoring of a patient’s cardiovascular function.

18 It is a further object of this invention to provide a method and system that assists
19 in the monitoring of a patient’s cardiovascular system through the use of a three-
20 dimensional graphic element.

21 It is another object of this invention to provide a method and system that assists in
22 the management of anesthesia care of patients, by presenting a display, which quickly
23 shows the relationships of various cardiovascular variables.

1 It is a still further object of this invention to provide a method and system that
 2 assists in the determination of the “health” of a dynamic cardiovascular system, by
 3 providing visual information related to the nature or quality of the soundness, wholeness,
 4 or well-being of the system as related to historical or normative values.

5 Another object of this invention is to provide a method and system that assists in
 6 the determination of the functioning of a cardiovascular system by measuring the
 7 interaction among a set of “vital-signs” normally associated with the health of the
 8 cardiovascular system.

9 A still further object of this invention is to provide a method and system, which
 10 provides the gathering and use of sensor measured data, as well as the formatting and
 11 normalization of the data in a format suitable to the processing methodology.

12 A further object of this invention is to provide a method and system, which
 13 organizes a cardiovascular system’s data into relevant data sets or critical functions as
 14 appropriate.

15 Another object of this invention is to provide a method and system, which
 16 provides a three-dimensional health-space for mapping the cardiovascular system data.

17 It is another object of this invention to provide a method and system, which
 18 provides three-dimensional objects that are symbols of the critical functioning of the
 19 cardiovascular system being monitored.

20 It is an object of this invention to provide a method and system that shows the
 21 relationships between several critical functions that a user wishes to monitor.

1 It is a further object of this invention to provide a method and system that permits
2 an integrated and overall holistic understanding of the cardiovascular process being
3 monitored.

4 A further object of this invention is to provide a method and system where three-
5 dimensional objects are built from three-dimensional object primitives, including: cubes,
6 spheres, pyramids, n-polygon prisms, cylinders, slabs.

7 A still further object of this invention is to provide a method and system, wherein
8 three-dimensional objects are placed within health-space based on the coordinates of their
9 geometric centers, edges, vertices, or other definite geometric variables.

It is a further object of this invention to provide a method and system, which has three-dimensional objects that have three spatial dimensions, as well as geometric, aesthetic and aural attributes, to permit the mapping of multiple data functions.

13 It is another object of this invention to provide a method and system, which shows
14 increases and decreases in data values using changes in location, size, form, texture,
15 opacity, color, sound and the relationships thereof in their context.

16 It is a still further object of this invention to provide a method and system,
17 wherein the particular three-dimensional configuration of three-dimensional objects can
18 be associated with a particular time and health state.

19 A still further object of this invention is to provide a method and system that
20 permits the simultaneous display of the history of data objects.

21 Another object of this invention is to provide a method and system that provides
22 for the selection of various user selectable viewports.

A still further object of the invention is to provide a method and system that uses animation, and sound to enhance the usefulness of the data to the user.

It is an object of this invention to provide a method and system for the measurement, computation, display and user interaction, of complex data sets that can be communicated and processed at various locations physically remote from each other, over a communication network, as necessary for the efficient utilization of the data and which can be dynamically changed or relocated as necessary.

It is a still further object of this invention to provide a method and system for the display of data that provides both a standard and a customized interface mode, thereby providing user and application flexibility.

These and other objects of this invention are achieved by the method and system herein described and are readily apparent to those of ordinary skill in the art upon careful review of the following drawings, detailed description and claims.

Brief Description of the Drawings

In order to show the manner that the above recited and other advantages and objects of the invention are obtained, a more particular description of the preferred embodiment of the invention, which is illustrated in the appended drawings, is described as follows. The reader should understand that the drawings depict only a preferred embodiment of the invention, and are not to be considered as limiting in scope. A brief description of the drawings is as follows:

Figure 1a is a top-level representative diagram showing the data processing paths of the preferred embodiment of this invention.

1 Figure 22 is a view of the 3-D perspective view portion of the display of a
2 preferred embodiment of the invention showing the cardiac object in the left foreground
3 and the respiratory object in the right background.

4 Figure 23a is a view of the preferred graphic element of this invention in a normal
5 cardiovascular system.

6 Figure 23b is a view of the preferred graphic element of this invention in a
7 cardiovascular system showing anaphylaxis.

8 Figure 23c is a view of the preferred graphic element of this invention in a
9 cardiovascular system showing hypovolemia.

10 Figure 23d is a view of the preferred graphic element of this invention in a
11 cardiovascular system showing bradycardia.

12 Figure 23e is a view of the preferred graphic element of this invention in a
13 cardiovascular system showing ischemia.

14 Figure 23f is a view of the preferred graphic element of this invention in a
15 cardiovascular system showing pulmonary embolism.

16 Figure 24 is a view of the preferred reference grid of this embodiment of the
17 invention.

18 Figure 25 is a view of the preferred reference grid showing object placement in
19 this preferred embodiment of the invention.

20 Figure 26 is a view of the preferred reference grid showing the functional object
21 relationships in this preferred embodiment of the invention.

22 Figure 27 is a representative three-dimensional object used in the present
23 preferred embodiment of the invention.

1 Figure 28 is a representative view of the normalization of the present preferred
2 embodiment of the invention.

3 Figure 29 is an integrated view showing numeric information in the present
4 preferred embodiment of the invention.

5 Figure 30 is a view showing the addition of slopes to show the restriction of blood
6 vessels.

7 Reference will now be made in detail to the present preferred embodiment of the
8 invention, examples of which are illustrated in the accompanying drawings.

9 **Detailed Description of the Invention**

10 This invention is a method, system and apparatus for the visual display of
11 complex sets of dynamic data. In particular, this invention provides the means for
12 efficiently analyzing, comparing and contrasting data, originating from either natural or
13 artificial systems. In its most common use the preferred embodiment of this invention is
14 used to produce an improved cardiovascular display of a human or animal patient. This
15 invention provides n-dimensional visual representations of data through innovative use
16 of orthogonal views, form, space, frameworks, color, shading, texture, transparency,
17 sound and visual positioning of the data. The preferred system of this invention includes
18 one or a plurality of networked computer processing and display systems, which provide
19 real-time as well as historical data, and which processes and formats the data into an
20 audio-visual format with a visual combination of objects and models with which the user
21 can interact to enhance the usefulness of the processed data. While this invention is
22 applicable to a wide variety of data analysis applications, one important application is the
23 analysis of health data. For this reason, the example of a medical application for this

invention is used throughout this description. The use of this example is not intended to limit the scope of this invention to medical data analysis applications only, rather it is provided to give a context to the wide range of potential application for this invention.

This invention requires its own lexicon. For the purposes of this patent description and claims, the inventors intend that the following terms be understood to have the following definitions.

An “artificial system” is an entity, process, combination of human designed parts, and/or environment that is created, designed or constructed by human intention.

Examples of artificial systems include manmade real or virtual processes, computer systems, electrical power systems, utility and construction systems, chemical processes and designed combinations, economic processes (including, financial transactions), agricultural processes, machines, and human designed organic entities.

A “natural system” is a functioning entity whose origin, processes and structures were not manmade or artificially created. Examples of natural systems are living organisms, ecological systems and various Earth environments.

The “health” of a system is the state of being of the system as defined by its freedom from disease, ailment, failure or inefficiency. A diseased or ill state is a detrimental departure from normal functional conditions, as defined by the nature or specifications of the particular system (using historical and normative statistical values). The health of a functioning system refers to the soundness, wholeness, efficiency or well being of the entity. Moreover, the health of a system is determined by its functioning.

“Functions” are behaviors or operations that an entity performs. Functional fitness is measures by the interaction among a set of “vital-signs” normally taken or

1 measured using methods well known in the art, from a system to establish the system's
2 health state, typically at regular or defined time intervals.

3 "Health-space" or "H-space" is the data representation environment that is used to
4 map the data in three or more dimensions.

5 "H-state" is a particular 3-D configuration or composition that the various 3-D
6 objects take in H-space at a particular time. In other words, H-state is a 3-D snapshot of
7 the system's health at one point of time.

8 "Life-space" or "L-space" provides the present and past health states of a system
9 in a historical and comparative view of the evolution of the system in time. This 3-D
10 representation environment constitutes the historical or Life-space of a dynamic system.
11 L-space allows for both continuous and categorical displays of temporal dependent
12 complex data. In other words, L-space represents the health history or trajectory of the
13 system in time.

14 "Real-Time Representation" is the display of a representation of the data within a
15 fraction of a second from the time when the event of the measured data occurred in the
16 dynamic system.

17 "Real-Time User Interface" is the seemingly instantaneous response in the
18 representation due to user interactivity (such as rotation and zooming).

19 A "variable" is a time dependent information unit (one unit per time increment)
20 related to sensing a given and constant feature of the dynamic system.

21 "Vital signs" are key indicators that measure the system's critical functions or
22 physiology.

1 In the preferred embodiments of this invention, data is gathered using methods or
2 processes well known in the art or as appropriate and necessary. For example, in general,
3 physiologic data, such as heart rate, respiration rate and volume, blood pressure, and the
4 like, is collected using the various sensors that measure the functions of the natural
5 system. Sensor-measured data is electronically transferred and translated into a digital
6 data format to permit use by the invention. This invention uses the received measured
7 data to deliver real-time and/or historical representations of the data and/or recorded data
8 for later replay. Moreover, this invention permits the monitoring of the health of a
9 dynamic system in a distributed environment. By distributed environment, it is meant
10 that a user or users interacting with the monitoring system may be in separate locations
11 from the location of the dynamic system being monitored. In its most basic elements, the
12 monitoring system of this invention has three major logical components: (1) the sensors
13 that measure the data of the system; (2) the networked computational information
14 systems that computes the representation and that exchanges data with the sensors and
15 the user interface; and (3) the interactive user interface that displays the desired
16 representation and that interactively accepts the users' inputs. The components and
17 devices that perform the three major functions of this invention may be multiple, may be
18 in the same or different physical locations, and/or may be assigned to a specific process
19 or shared by multiple processes.

20 Figure 1a is a top-level representative diagram showing the data processing paths
21 of the preferred embodiment of this invention operating on a natural system. The natural
22 system 101a is shown as a dynamic entity whose origin, processes and structures
23 (although not necessarily its maintenance) were not manmade or artificially created.

Examples of natural systems are living organisms, ecological systems, and various Earth environments. In one preferred embodiment of the invention, a human being is the natural system whose physiology is being monitored. Attached to the natural system 101a are a number of sensors 102. These sensors 102 collect the physiologic data, thereby measuring the selected critical functions of the natural system. Typically, the data gathering of the sensors 102 is accomplished with methods or techniques well known in the art. The sensors 102 are typically and preferably electrically connected to a digital data formatter 103. However, in other embodiments of this invention, the sensors may be connected using alternative means including but not limited to optical, RF and the like. In many instances, this digital data formatter 103 is a high-speed analog to digital converter. Also, connected to the digital data formatter 103 is the simulator 101b. The simulator 101b is an apparatus or process designed to simulate the physiologic process underlying the life of the natural system 101a. A simulator 101b is provided to generate vital sign data in place of a natural system 101a, for such purposes as education, research, system test, and calibration. The output of the digital data formatter 103 is Real-Time data 104. Real-Time data 104 may vary based on the natural system 101a being monitored or the simulator 101b being used and can be selected to follow any desired time frame, for example time frames ranging from one-second periodic intervals, for the refreshment rates of patients in surgery, to monthly statistics reporting in an ecological system. The Real-Time data 104 is provided to a data recorder 105, which provides the means for recording data for later review and analysis, and to a data modeling processor and process 108. In the preferred embodiments of this invention the data recorder 105 uses processor controlled digital memory, and the data modeling processor and process

108 is one or more digital computer devices, each having a processor, memory, display, input and output devices and a network connection. The data recorder 105 provides the recorded data to a speed controller 106, which permits the user to speed-up or slow-down the replay of recorded information. Scalar manipulations of the time (speed) in the context of the 3-D modeling of the dynamic recorded digital data allows for new and improved methods or reviewing the health of the systems 101a,b. A customize / standardize function 107 is provided to permit the data modeling to be constructed and viewed in a wide variety of ways according to the user's needs or intentions. Customization 107 includes the ability to modify spatial scale, such modifying includes but is not limited to zooming, translating, and rotating, attributes and viewports in addition to speed. In one preferred embodiment of the invention, the range of customization 107 permitted for monitoring natural systems 101a physiologic states is reduced and is heavily standardized in order to ensure that data is presented in a common format that leads to common interpretations among a diverse set of users. The data modeling processor and process 108 uses the prescribed design parameters, the standardized/customize function and the received data to build a three-dimensional (3-D) model in real-time and to deliver it to an attached display. The attached display of the data modeling processor and process 108 presents a representation 109 of 3-D objects in 3-D space in time to provide the visual representation of the health of the natural system 101a in time, or as in the described instances of the simulated 101b system.

Figure 1b is a top-level block diagram of the data processing flow of the preferred embodiment of this invention operating on an artificial system. An artificial system is a dynamic entity whose origin, processes and structure have been designed and

constructed by human intention. Examples of artificial systems are manmade real or virtual, mechanical, electrical, chemical and/or organic entities. The artificial system 110a is shown attached to a number of sensors 111. These sensors 111 collect the various desired data, thereby measuring the selected critical functions of the artificial system. Typically, the data gathering of the sensors 111 is accomplished with methods or techniques well known in the art. The sensors 111 are connected to a data formatter 112, although alternative connection means including optical, RF and the like may be substituted without departing from the concept of this invention. In many instances, this digital data formatter 112 is a high-speed analog to digital converter. Although, in certain applications of the invention, namely stock market transactions, the data is communicated initially by people making trades. Also connected to the digital data formatter 112 is the simulator 110b. The simulator 110b is an apparatus or process designed to simulate the process underlying the state of the artificial system 110a. The simulator 110b is provided to generate vital data in place of the artificial system 110a, for such purposes as education, research, system test, and calibration. The output of the digital data formatter 112 is Real-Time data 113. Real-Time data 113 may vary based on the artificial system 110a being monitored or the simulator 110b being used and can be selected to follow any desired time frame, for example time frames ranging from microsecond periodic intervals, for the analysis of electronic systems, to daily statistics reported in an financial trading system. The Real-Time data 113 is provided to a data recorder 114, which provides the means for recording data for later review and analysis, and to a data modeling processor and process 117. In the preferred embodiments of this invention the data recorder 114 uses processor controlled digital memory, and the data modeling

well suited to the flexibility of this interface mode. The data modeling processor and process 117 uses the prescribed design parameters, the customize/standardized function 116 and the received real-time data 113 to build a three-dimensional (3-D) model in time and to deliver it to a display. The display of the data modeling processor and process 117 presents a representation 118 of 3-D objects in 3-D space in time to provide the visual representation of the health of the artificial system 110a in time, or as in the described instances of the simulated 110b system.

Figure 1c is a top-level block diagram of one preferred processing path of this invention. Sensors 119 collect the desired signals and transfer them as electrical impulses to the appropriate data creation apparatus 120. The data creation apparatus 120 converts the received electrical impulses into digital data. A data formatter 121 receives the digital data from the data creation apparatus 120 to provide appropriate formatted data for the data recorder 122. The data recorder 122 provides digital storage of data for processing and display. A data processor 123 receives the output from the data recorder 122. The data processor 123 includes a data organizer 124 for formatting the received data for further processing. The data modeler 125 receives the data from the data organizer and prepares the models for representing to the user. The computed models are received by the data representer 126, which formats the models for presentation on a computer display device. Receiving the formatted data from the data processor 123 are a number of data communication devices 127, 130. These devices 127, 130 include a central processing unit, which controls the image provided to one or more local displays 128, 131. The local displays may be interfaced with a custom interface module 129

which provides user control of such attributes as speed 131, object attributes 132, viewports 133, zoom 134 and other like user controls 135.

Figure 1d is a top-level block diagram of a second preferred processing path of this invention. In this embodiment of the invention a plurality of entities 136a,b,c are attached to sensors 137a,b,c which communicate sensor data to a data collection mechanism 138, which receives and organizes the sensed data. The data collection mechanism 138 is connected 139 to the data normalize and formatting process 140. The data normalize and formatting process 140 passes the normalized and formatted data 141 to the distributed processors 142. Typically and preferably the processing 142 is distributed over the Internet, although alternative communication networks may be substituted without departing from the concept of this invention. Each processing unit 142 is connected to any of the display devices 143a,b,c and receives command control from a user from a number of interface units 144a,b,c, each of which may also be connected directly to a display devices 143a,b,c. The interface units 144a,b,c receive commands 145 from the user that provide speed, zoom and other visual attributes controls to the displays 143a,b,c.

Figures 2a, 2b, 2c, and 2d are representative 3-D objects representing critical functions. Each 3-D object is provided as a symbol for a critical function of the entity whose health is being monitored. The symbol is created by selecting the interdependent variables that measure a particular physiologic function and expressing the variable in spatial (x,y,z) and other dimensions. Each 3-D object is built from 3-D object primitives (i.e., a cube, a sphere, a pyramid, a n-polygon prism, a cylinder, a slab, etc.). More specifically, the spatial dimensions (extensions X, Y and Z) are modeled after the most

1 important physiologic variables based on (1) data interdependency relationships, (2) rate,
2 type and magnitude of change in data flow, (3) geometric nature and perceptual potential
3 of the 3-D object, for example a pyramid versus a cylinder, (4) potential of the object's
4 volume to be a data-variable itself by modeling appropriate data into x, y and z
5 dimensions (e.g., in one preferred application of the invention, cardiac output is the result
6 of heart rate (x and y dimensions) and stroke volume (z)), (5) orthographic viewing
7 potential (see viewport) and (6) the relationship with the normal values framework.

8 The first representative object 201, shown in figure 2a, is an engine process. The
9 object 201 representing this process is provided on a standard x-y-z coordinate axis 202.
10 The correlation between temperature, shown in the x1-dimension 204, engine RPM,
11 shown in the y1-dimension 205 and exhaust gas volume, shown in the z1-dimension 203
12 is shown by changes in the overall sizes and proportion of the object 201. In the shown
13 example object 201 the engine gas volume 203 is large, when RPM 205 is low and the
14 engine temperature 204 is in the middle range. This combination of values, even without
15 specific identified values suggests an engine's starting point.

16 The second representative object 206, shown in figure 2b, is an object
17 representing cardiac function using stroke volume, in the y2-dimension 209, and the heart
18 rate per second, shown as the x2, z2 dimensions. The total cardiac volume is shown as
19 the total spherical volume 208.

20 The third representative object 211, shown in figure 2c, represents the interaction
21 between the number of contracts, shown in the y3-dimension 212, the average revenue
22 per contract, shown in the z3-dimension 214, and the average time per contract, shown in

secondary forms of the objects. “Needles” 513 protruding through a standard object 512 in combination 511 is shown in comparison with a boundary 515 surrounding a standard object 514 and a bar 517 protruding into the original form object 518 forming a new combination object 516 are shown providing additional combination supported in this invention. Figure 5e shows the various degrees of opacity of the object’s surface, showing an opaque object 519, a transparent object 520 and an intermediate state object 521. Figure 5f shows the various degrees of texture supported by the object display of this invention, including a textured object 522, a smooth object 523 and an intermediate textured object 524. Figure 5g is intended to represent various color hue possibilities supported for objects in this invention. An object with color hue is represented 525 next to a value hue object 526 and a saturation hue object 527 for relative comparison. Naturally, in the actual display of this invention colors are used rather than simply the representation of color shown in figure 5g. Figure 5h shows the atmospheric density of the representation space possible in the display of objects in this invention. An empty-clear space 528, a full-dark space 530 and an intermediate foggy space 523 are shown with 3-D objects shown within the representative space 529, 531, 533.

17 Aural properties supported in this invention include, but are not limited to pitch,
18 timbre, tone and the like.

Figure 6 shows the 3-D configuration of the objects in H-space in the preferred embodiment of the invention. In this view the local level, H-space 601 is shown within which the 3-D objects 602, 603, and 604 are located. Object 602 represents the respiratory function of an individual. Its 602 x-y-z dimensions change based on the parameter-based dimensional correlation. The object 603 represents the efficiency of the

cardiac system by varying the x,y,z coordinates of the object. The object 604 represents a human brain function, also with the x,y,z dimensions changing based on the parameter-based dimensional correlation. In this way the user can easily view the relative relationships between the three physiological objects 602, 603, 604. Within H-space 601, the temporal coordinate (i.e., periodic time interval for data capturing that defines how H-space is plotted in Live-space – see figure 7) is a spatial dimension on which data is mapped. The x-dimension of 605 of the H-space 601 can be mapped to another independent variable such as heart rate period, blood pressure or the like. The location of an object in the y-dimension 606 of H-space 601 can be mapped to additional variables that are desired to be monitored such as SaO₂ content, CaO₂ content, or temperature in the blood. The location of an object in the z-dimension 607 of the H-space 601 can also be mapped to additional variables that the user desires to monitor. A hypothetical object 608 shows that the three coordinates are contextual to a particular object 608 and need not be the same for all objects, except in the object's 608 extension measuring properties. Fixed x- and z-dimension values 609a and 609b are shown as constant. The y-value 610 of this object 608 changes to fluctuating values or data type that results in the height of the object 608 increasing or decreasing. This view shows another object 611 showing the relationship between the three dimensions. Constant x- and y-values 612a and 612b are shown. The z-value 613 of this object 611 changes to fluctuating values or data types that result in the width of the object 611 increasing or decreasing. An overlapping view 614 of an object 615 that has extended past the H-space limitation. A limit of H-space 616 with a spherical object 617 located inside H-space 616 shown with the degree of extension shown in shaded circles.

forwards and backwards from the intersecting x-axis. This dimension 804 can be mapped to a data variable within a particular 3D object in space. Now for figure 8b a prismatic object 800 represents a critical function, whose evolution is being monitored in L-space, of a given dynamic system. The front view 805 shows the different H-states of the prism/function 800 using a time T to T-n historical trend. The level of intersection and separation between the front views of the prism indicate abnormal health states of the critical function the object 800 represents. No separation or intersection shows normal function conditions. The trajectory in the y-dimension of the prism (i.e., H-states of the critical function) are mapped to a variable that cause their relative position to change in the + and -y dimension. The current state 806 of the prism is shown in this front view 805. A top view of 809 of the three-dimensional L-space is shown, showing the evolution of the prism 800 backward in time and showing a T to T-N historical trend. The level of intersection and separation indicate abnormal health states of the particular critical function the prism represents. No separation or intersection shows normal conditions. The trajectory in the z-dimension of the object is mapped to a variable that causes their position to change in the + and -z dimension. This top view shows both the z and y trajectories in one comprehensive view. The perspective view 808 of L-space gives a comprehensive view of the interaction of the prisms (the H-states of the function) and their movement in all dimensions. The side view 807 of L-space shows the prisms and their positions in L-space giving a simultaneous view of z and y trajectories.

Figures 9a and 9b shows various viewpoints in which the data may be visualized in the preferred embodiment of this invention. This figure shows representations of a data object (a prism) and is provided to show that there are two basic types of viewpoints:

1 make the necessary corrections to bring the object back to the ideal center of the
2 framework. A perspectival view 1013 of the framework is also shown along with several
3 cardiac objects. The top view 1014 of the framework is shown with several spherical
4 objects (representing cardiac states). This figure demonstrates the variety of viewports
5 provided to the user by this invention, which provides enhanced flexibility of analysis of
6 the displayed data.

7 Figure 11a shows the zooming out function in the invention. This invention
8 provides a variety of data display functions. This figure shows the way views may be
9 zoomed in and out providing the relative expansion or compression of the time
10 coordinate. Zooming out 1101 permits the user to look at the evolution of the system's
11 health as it implies the relative diminution of H-states and the expansion of L-space. This
12 view 1101 shows a zoomed out view of the front view showing a historical view of many
13 health states. A side view 1102 zoomed out view is provided to show the historical trend
14 stacking up behind the current view. A 3-D perspectival, zoomed out view 1103 showing
15 the interaction of H-states over a significant amount of time is provided. A zoomed out
16 top view 1104 shows the interaction of H-states over a large amount of time.

17 Figure 11b shows the zooming in function of the invention. The zooming in front
18 view 1105 is shown providing an example of how zooming in permits a user to focus in
19 on one or a few H-states to closely study specific data to determine with precision to the
20 forces acting on a particular H-state. A zoomed in side view 1106 is provided showing
21 the details of specific variables and their interactions. A zoomed in 3-D perspective view
22 1107 of a few objects is also shown. Also shown is a zoomed in top view 1108 showing
23 the details of specific variables and their interaction.

1 Figures 12a shows a 3-D referential framework of normative values that is
2 provided to permit the user a direct comparison between existing and normative health
3 states, thereby allowing rapid detection of abnormal states. The reference framework
4 1201 works at both the global L-space level and the local H-space level. "Normal"
5 values are established based on average historical behavior of a wide population of
6 systems similar to the one whose health is being monitored. This normal value
7 constitutes the initial or by-default ideal value, which , if necessary may be adjusted to
8 acknowledge the particular characteristics of a specific system or to follow user-
9 determined specifications. The highest normal value of vital sign "A" 1202 (+y) is
10 shown, along with the lowest normal value of "B" 1203 (-z), the lowest normal value of
11 vital sign "A" 1204 (-y) and the highest normal value of vital sign "B" 1205 (+z). In
12 figure 12b, abnormal values of "A" and "B" are shown in an orthogonal view. An
13 abnormally high value of "A" 1206, an abnormally low value of "B" 1207, an abnormally
14 low value of "A" 1208 and an abnormally high value of "B" 1209 are shown.

15 Figure 13 shows a comparison of the interface modes of the preferred
16 embodiment of this invention. This invention provides two basic types of interface
17 modes: (a) standardized or constrained customization; and (b) free or total customization.
18 Each is directed toward different types of applications. The standardized or constrained
19 customization 1301 uses a method and apparatus for user interface that is set a-priori by
20 the designer and allows little customization. This interface mode establishes a stable,
21 common, and standard symbolic system and displaying method that is "user-resistant".
22 The fundamental rules, parameters, structure, time intervals, and overall design of L-
23 space and H-space are not customizable. Such a normalized symbolic organization

creates a common interpretative ground upon which different users may arrive at similar conclusions when provided common or similar health conditions. This is provided because similar data flows will generate similar visualization patterns within a standardized symbolic system. This interface method is intended for social disciplines, such as medicine in which common and agreeable interpretations of the data are highly sought after to ensure appropriate and verifiable monitoring, diagnosis and treatment of health states. The customization permitted in this mode is minimal and is never threatening to render the monitoring device incomprehensible to other users.

The free or total customization interface mode 1302 provides a symbolic system and displaying method that is changeable according to the user's individual needs and interests. Although the invention comes with a default symbolic L-space and H-space, its rules, parameters, structure, time intervals, and overall design are customizable. This interface mode also permits the user to select what information the user wishes to view as well as how the user wishes to display it. This interface mode may produce personalized displays that are incomprehensible to other users, but provides flexibility that is highly desired in individual or competitive pursuits that do not require agreeable or verifiable interpretations. Examples of appropriate applications may include the stock market and corporate health data monitoring.

Figure 14 is a hardware system flow diagram showing various hardware components of the preferred embodiments of the invention in a "natural system" medical application. Initially a decision 1401 is made as to the option of using data monitored on a "real" system, that is a real patient, or data from the simulator, for anesthesiology training purposes. If the data is from a real patient, then the patient 1402 is provided with

necessary because a cubic spline is fitted, using four data points to do the fit, to the data points to generate a smooth respiratory object. Therefore, until four time steps have passed, the curtain is not rendered. Thereafter, it is rendered every time new data is acquired. Cardiac object properties include material properties and the height of the color bands. Blood pressure object length and materials are the thin cylinders that go through the top and bottom of each ellipsoid. Next, reference grid properties are computed. All objects, except the cardiac object reference are stationary, in the current implementation. The cardiac object reference can move according to the movement of the cardiac object if the user specifies this movement in the startup file. Next, sounds are computed 1511 and made audible 1513. Objects and reference grids are rendered 1512. Before rotation the newest object appears at the right side of the screen and oldest object is at the left side of the screen. Sound is produced 1513 next. A test 1514 is next made to determine if smooth animation is selected. If smooth animation is selected the scene will scroll during the time the program is waiting to get new data. The program, using available computing resources, selects the minimum time increment so that the shift of the objects can be rendered within the increment, but limiting the increment to the smallest increment that human eyes can detect. If smooth animation is not selected, objects are shifted to the left 1515 such that the distance from the center of the newest cardiac object to that of the former cardiac object is equal to the inter-cardiac spacing. The process waits 1508 until the current time minus the time since data was last obtained equals the data acquisition period specified by the user. If the current time minus the time when the data was last acquired equals the user specified data acquisition period then a new line of data is acquired. If smooth animation is selected, then the cardiac objects are shifted to the left

the sphere 1806. Previous historical values for the sphere 1806 are also provided in 1805, 1807.

Figure 19 is a view of the front view portion of the display of a preferred embodiment of the present invention showing the cardiac object in the foreground and the respiratory object in the background. This view 1900 provides a more quantitative image of the hemodynamic variables, stroke volume, blood pressure 1901 and heart rate. The “normal” reference lines are more apparent. In the preferred embodiment, respiration is shown by changes in the background color.

Figure 20 is a view of the top view portion of the display 2000 of a preferred embodiment of the present invention showing the cardiac object toward the bottom of the view and the respiratory object toward the top of the view. Inhaled gas 2002 and exhaled gas 2003. CO₂ concentrations and oxygen saturation of the arterial blood 2001 versus time are also shown.

Figure 21 is a view of the side view portion of the display of a preferred embodiment of the present invention showing the cardiac object to the left and the respiratory object to the right. Gas concentration in the lungs 2101, a calibrated scale for gas concentration 2103, blood pressure 2100, and oxygen saturation 2101 are shown. The end view, shown here in figure 21, is especially useful during treatment, where the goal is to bring the variables back to the center or normal state. Functional relationships can be added to this view to predict how treatment can be expected to bring the variables back to normal.

Figure 22 is a view of the 3-D perspective view portion of the display of a preferred embodiment of the present invention showing the cardiac object in the left

foreground and the respiratory object in the right background. This view 2200 provides a comprehensive, integrated and interactive view of nine physiological variables. The sphere 2201 grows and shrinks with each heartbeat. Its height is proportional to the heart's stroke volume and its width is proportional to heart rate. This graphic object 2201 offers useful similarity to a beating heart. The gridframe 2202 shows the expected normal values for stroke volume and heart rate. The position of this object 2201 on the screen is proportional to the patient's mean blood pressure. The ends of the bar 2203 drawn vertically through the center of the heart object show systolic and diastolic blood pressure. In the preferred embodiment of the invention, the background 2204 is colored to show inspired and expired gases. The height of the "curtain" 2205 is proportional to tidal volume. The width of each fold 2206 is proportional to respiratory rate. In the preferred embodiment colors are used to show the concentrations of respiratory gases. Time moves from right to left with the present condition shown at the "front" or right edge of the view 2200. Past states 2207 remain to permit a historical view of the data.

Figure 23a shows the preferred graphic element of this invention depicting a normal cardiovascular system. This graphic element 2300 is composed of a number of distinct objects 2301, 2301, 2303, 2304, 2305, 2306. Normal, or expected object represented values are shown by the filling of an object in its designated frame 2301, 2301a, 2303a, 2304a, 2305a, 2306a. Numeric values 2307a-e are also shown to provide numeric indications of the desired graphic object. Although shown here as black objects within a white frame, in alternative embodiments the objects and frames may be any desired displayable color, texture, shading and the like.

9 Figure 23c shows the preferred graphic element of this invention depicting a
10 cardiovascular system exhibiting hypovolemia. This figure demonstrates the display of
11 objects 2316, 2317, 2318, 2319, 2320, 2321 having values substantially less than desired
12 or expected, by failing to fill the expected frame 2316a, 2317a, 2318a, 2319a, 2320a,
13 2321a. Three sloped regions 2322, 2323, 2324 are provided to show a change in value
14 between two objects.

Figure 23d shows the preferred graphic element of this invention depicting a cardiovascular system exhibiting bradycardia. This figure demonstrates the display of objects 2329, 2330 having values substantially less than desired or expected, by failing to fill the expected frame 2329a, 2330a. Objects 2326, 2327 having a value much larger than desired or expected is shown by overfilling its frame 2326a, 2327a. And an object 2325 having an expected value is shown by filling its respective frame 2325a. Three sloped regions 2331, 2332, 2333 are provided to show a change in value between two objects.

Figure 23e shows the preferred graphic element of this invention depicting a cardiovascular system exhibiting ischemia. This figure demonstrates the display of objects 2338, 2339 having values substantially less than desired or expected, by failing to fill the expected frame 2338a, 2339a. An object 2336 having a value much larger than desired or expected is shown by overfilling its frame 2336a. Objects 2334, 2335 having expected values is shown by filling their respective frames 2334a, 2335a. Two sloped regions 2340, 2341 are provided to show a change in value between two objects.

Figure 23f shows the preferred graphic element of this invention depicting a cardiovascular system exhibiting pulmonary embolism. This figure demonstrates the display of objects 2343, 2344, 2345, 2346, 2347 having values substantially less than desired or expected, by failing to fill the expected frame 2343a, 2344a, 2345a, 2346a, 2347a. An object 2342 having a value much larger than desired or expected is shown by overfilling its frame 2342a. Two sloped regions 2348, 2349 are provided to show a change in value between two objects.

Figure 24 shows the preferred reference grid of this embodiment of this invention. A reference grid 2400 is provided within which space is allocated for graphic objects 2401, 2402, 2403, 2404.

Figure 25 shows the preferred reference grid 2400 of this embodiment of this invention with the preferred object placement 2501, 2502, 2503, 2504 as well as a center line axis point 2500 indicated. Generally the center line axis 2500 is used to scale the object from a center point. Smaller objects, such as 2502, indicates lower values. While larger objects, such as 2503, indicates larger values.

- 1 scope of this invention and it is our intent that they are deemed to be within the scope of
- 2 this invention.
- 3

CLAIMS

We claim:

1. A device for data representation, comprising:

(A) a reference grid;

(B) a first object frame, within said reference grid;

(C) a first object associated to said first object frame.

2. A device for data representation, as recited in claim 1, wherein said first object is associated with a cardiovascular function.

3. A device for data representation, as recited in claim 1, wherein said first object has a generally cylindrical shape.

4. A device for data representation, as recited in claim 1, further comprising a numeric value associated with said first object.

5. A device for data representation, as recited in claim 1, further comprising a second object frame placed within said reference grid.

6. A device for data representation, as recited in claim 5, further comprising a second object associated with said second object frame.

7. A device for data representation, as recited in claim 5, further comprising a sloped region positioned between said first object and said second object.

ABSTRACT

A method, system , apparatus and device for the monitoring, diagnosis and evaluation of the state of a dynamic system is disclosed. This method and system provides the processing means for receiving sensed and/or simulated data, converting such data into a displayable object format and displaying such objects in a manner such that the interrelationships between the respective variables can be correlated and identified by a user. This invention provides for the rapid cognitive grasp of the overall state of a critical function with respect to a dynamic system. The system provides for displayed objects, which change in real-time to show the changes of the functions of the system. It is a highly flexible system which works with a wide variety of applications, including biological systems, environmental systems, engineering systems, economic systems, mechanical systems, chemical systems and the like. The device of this invention is adapted specifically to providing objects within a frame associated with other objects in a reference grid to provide a graphical representation of cardiovascular function.

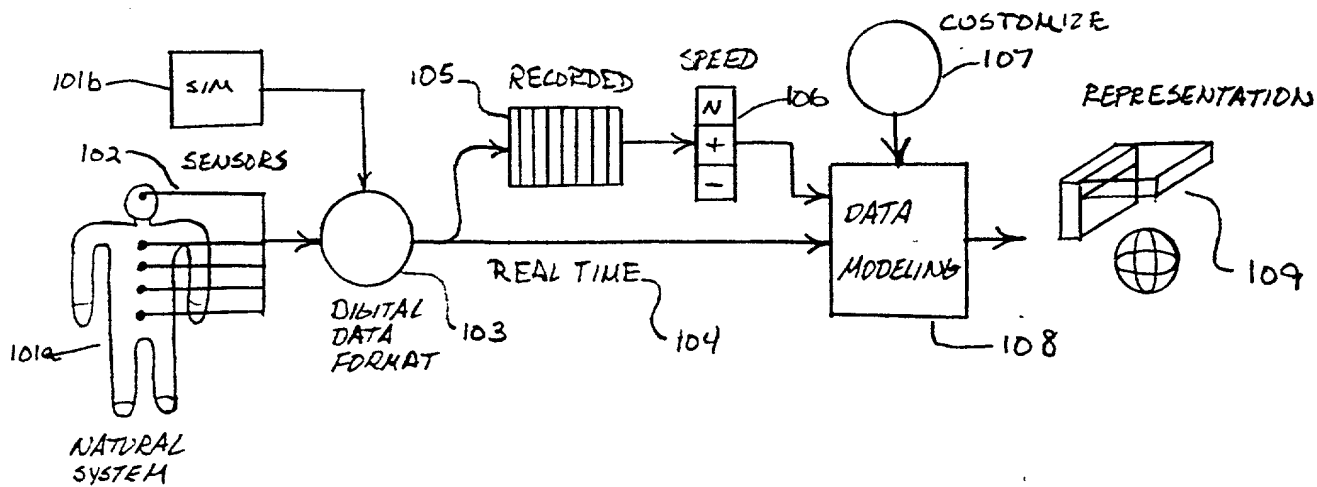


FIGURE 1a

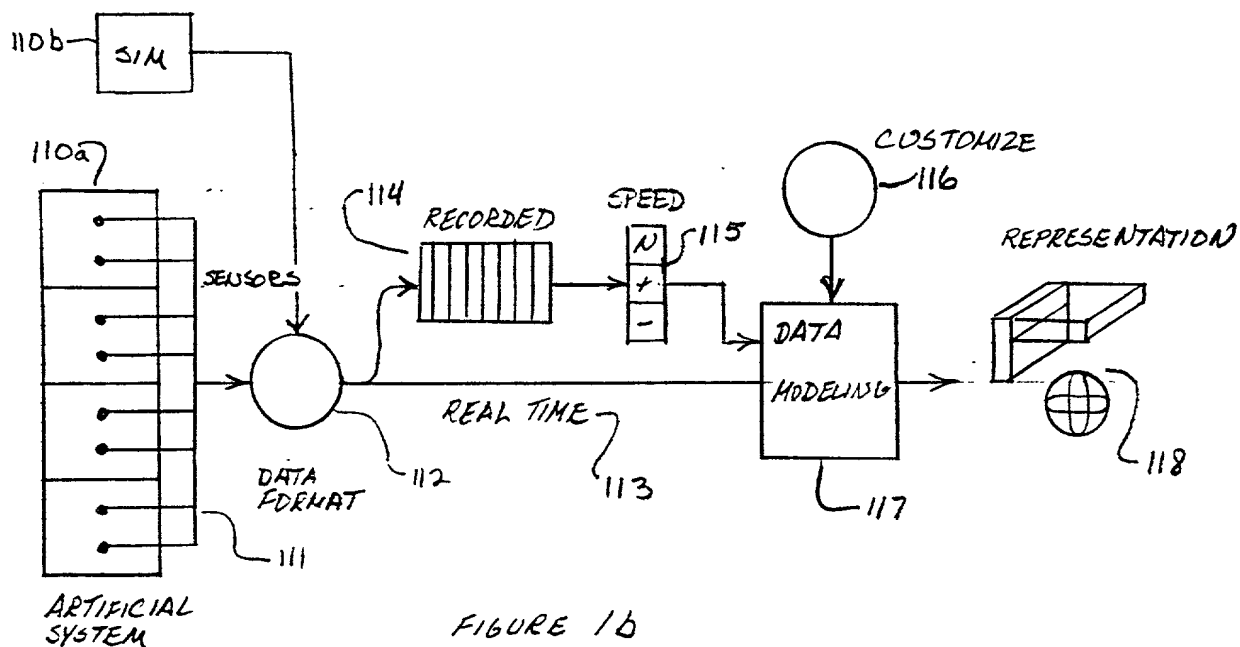


FIGURE 1b

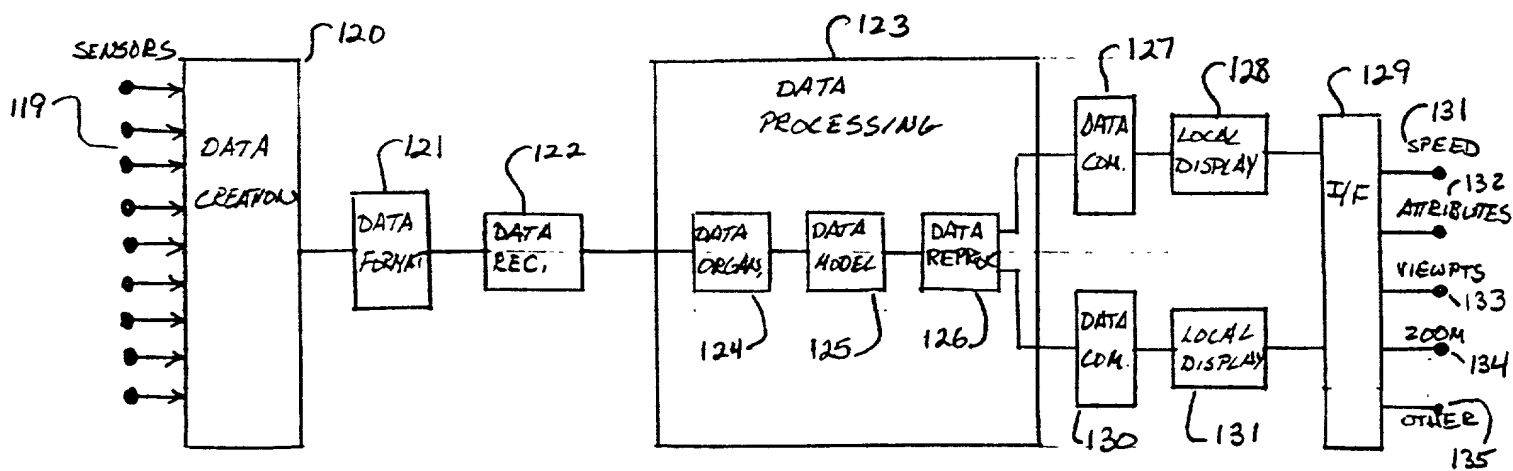


FIGURE 1c

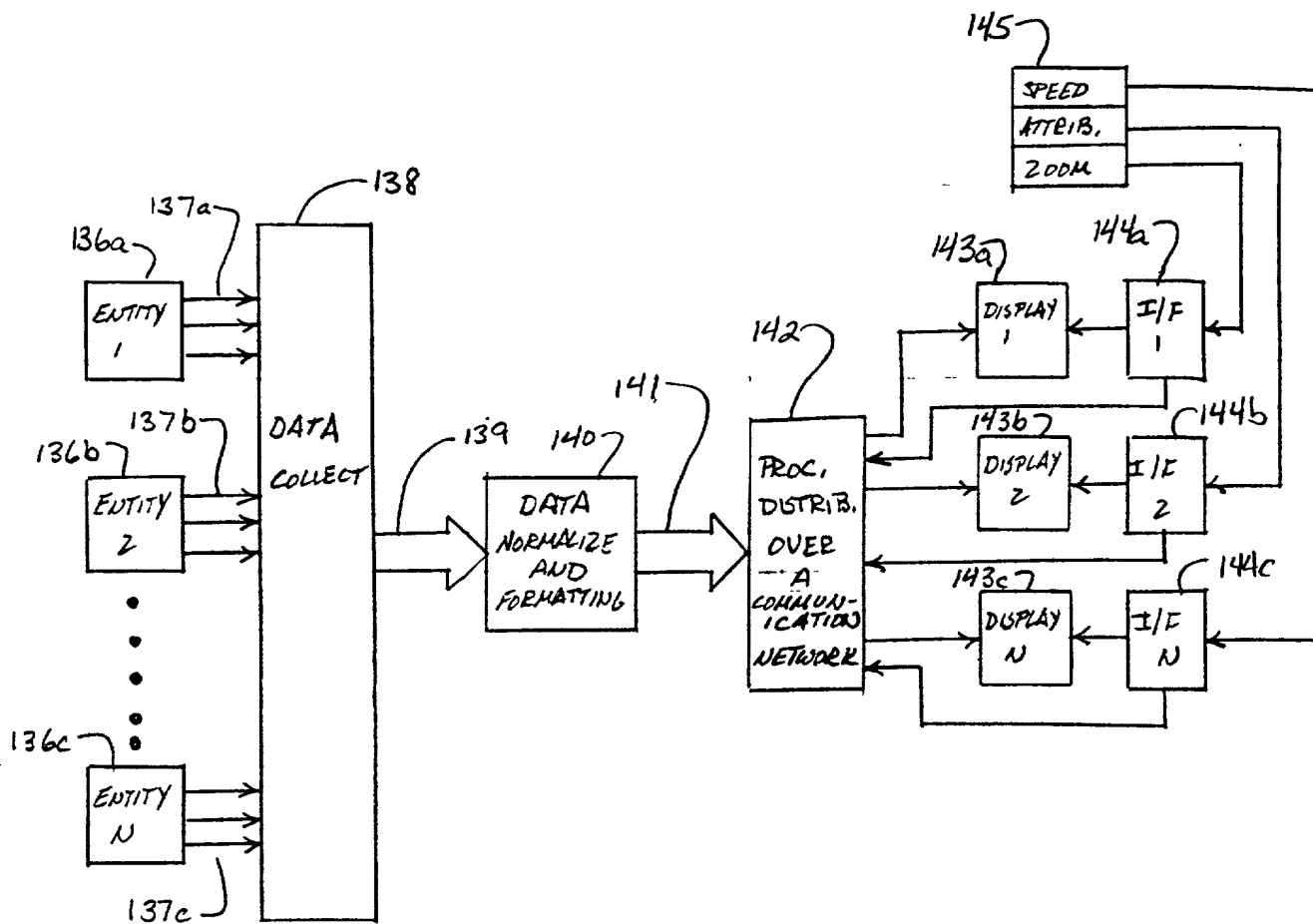


FIGURE 1d

201

203

205

202

204

X_1

Y_1

Z_1

ENGINE

X_1 = ENGINE TEMPERATURE

Y_1 = ENGINE RPM

Z_1 = ENGINE EXHAUST GAS VOLUME

③ $\Delta T_N \Rightarrow$

$O_x = \frac{1}{2}$ REP. SPACE
(H-PIC SPACE)

$O_y =$ BLOOD PRESSURE
(UP-DOWN)

$O_z =$ OXYGEN CONCENTRATION
IN THE BLOOD
(BACK TO FRONT)

@ $\Delta TN \Rightarrow P_x = \frac{1}{2} \text{ REP. SPACE}$
(H. PIC. SPACE)

$P_y = \text{SALES PROFIT}$
(UP-DOWN)

P₂ = PRODUCTS IN STOCK
(BACK TO FRONT)

FIGURE 3

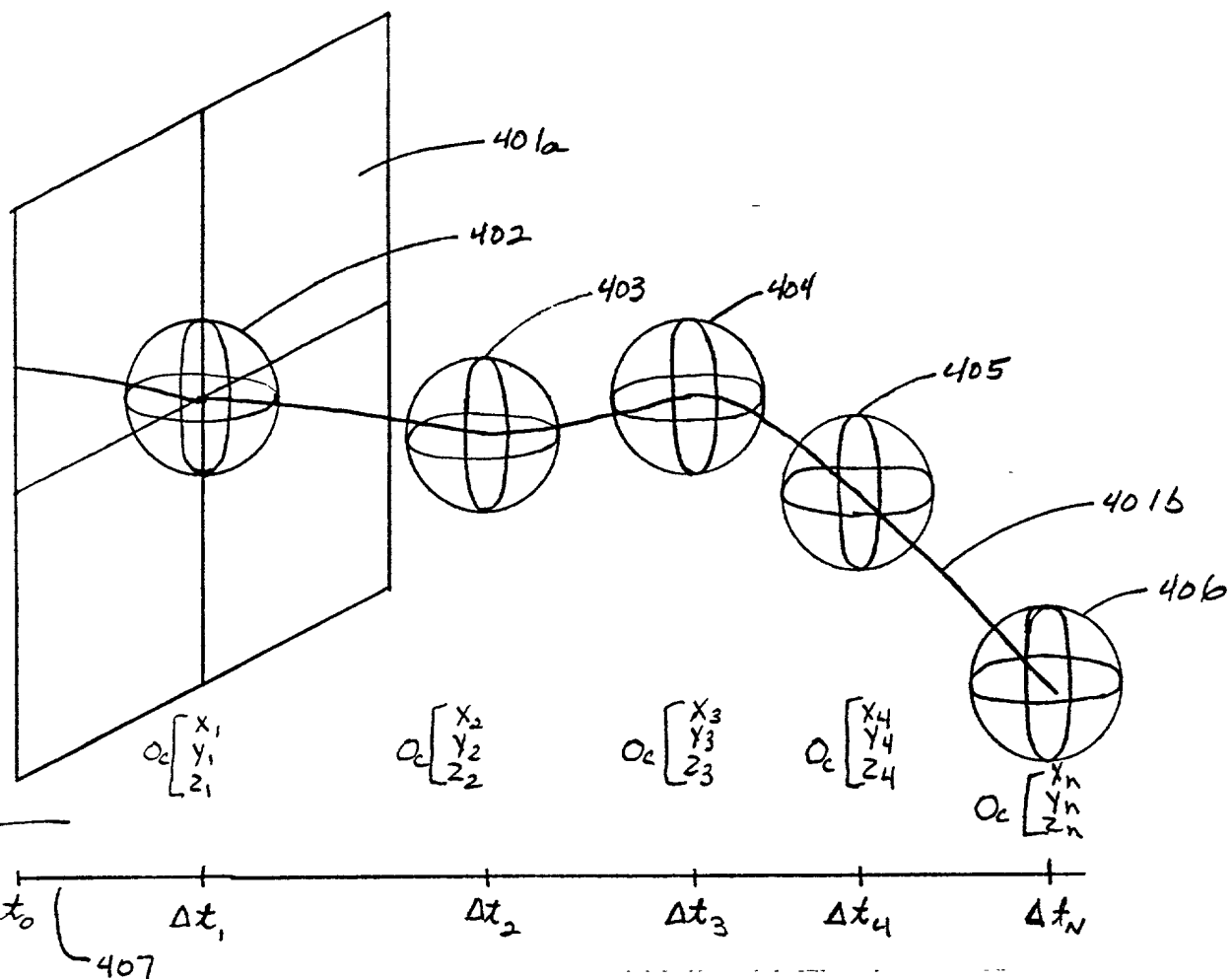


FIGURE 4a

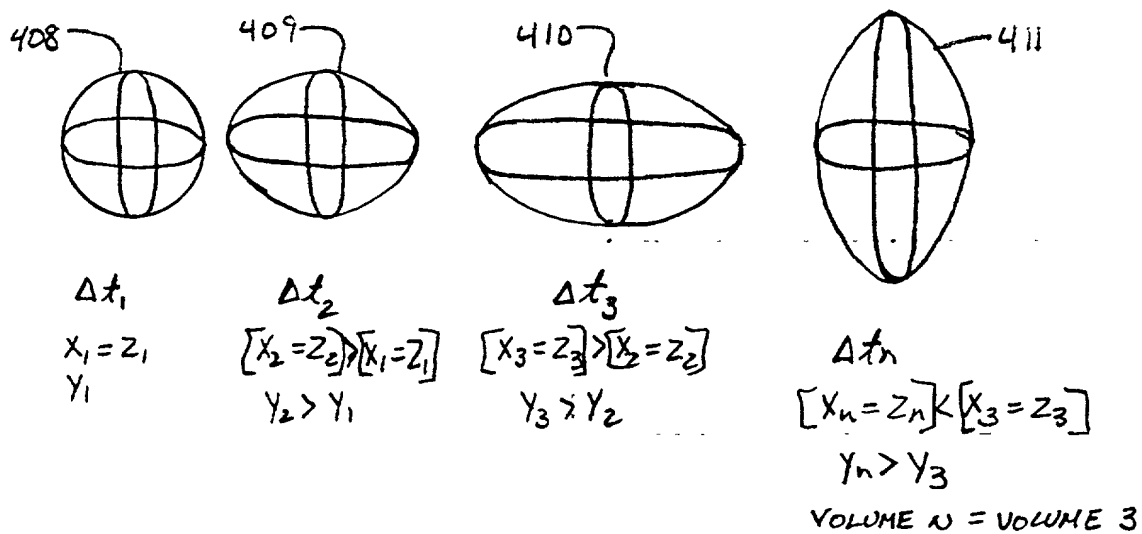


FIGURE 4b



FIGURE 5a

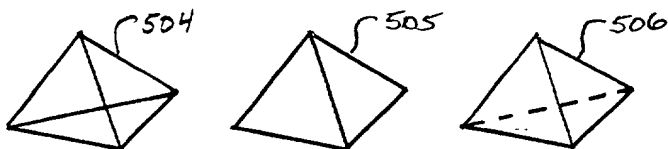


FIGURE 5b

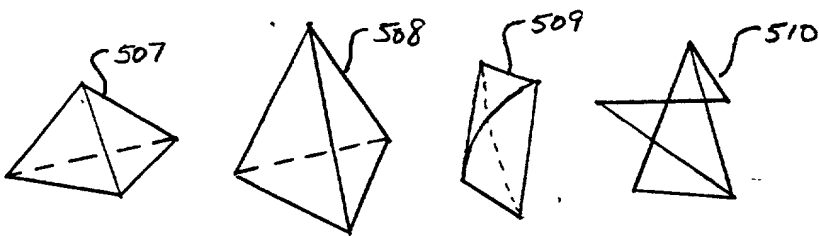


FIGURE 5c

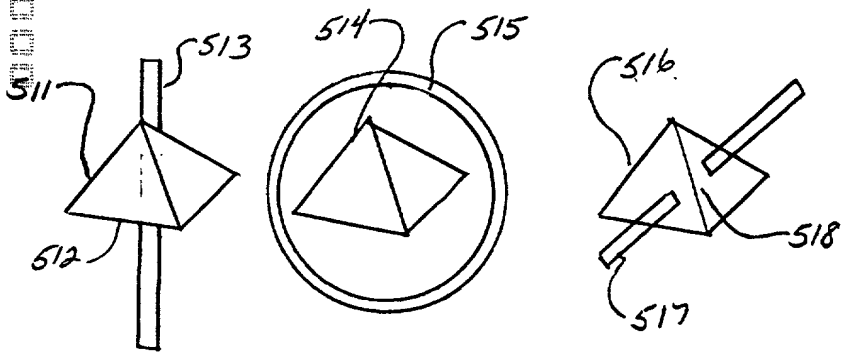


FIGURE 5d

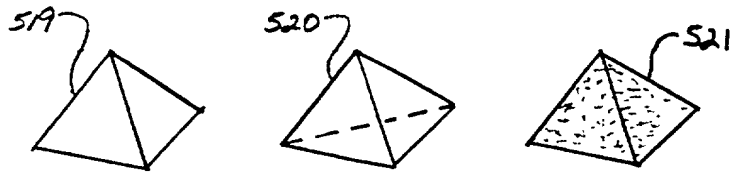


FIGURE 5e

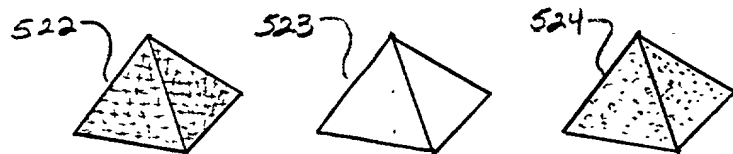


FIGURE 5f



FIGURE 5g

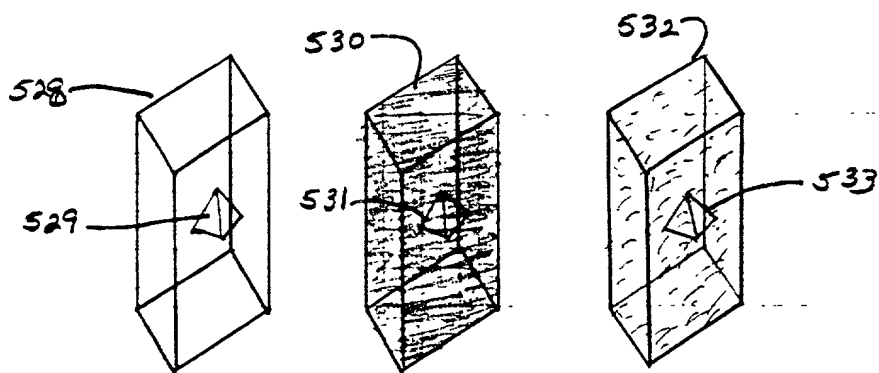


FIGURE 5h

000001 53265960

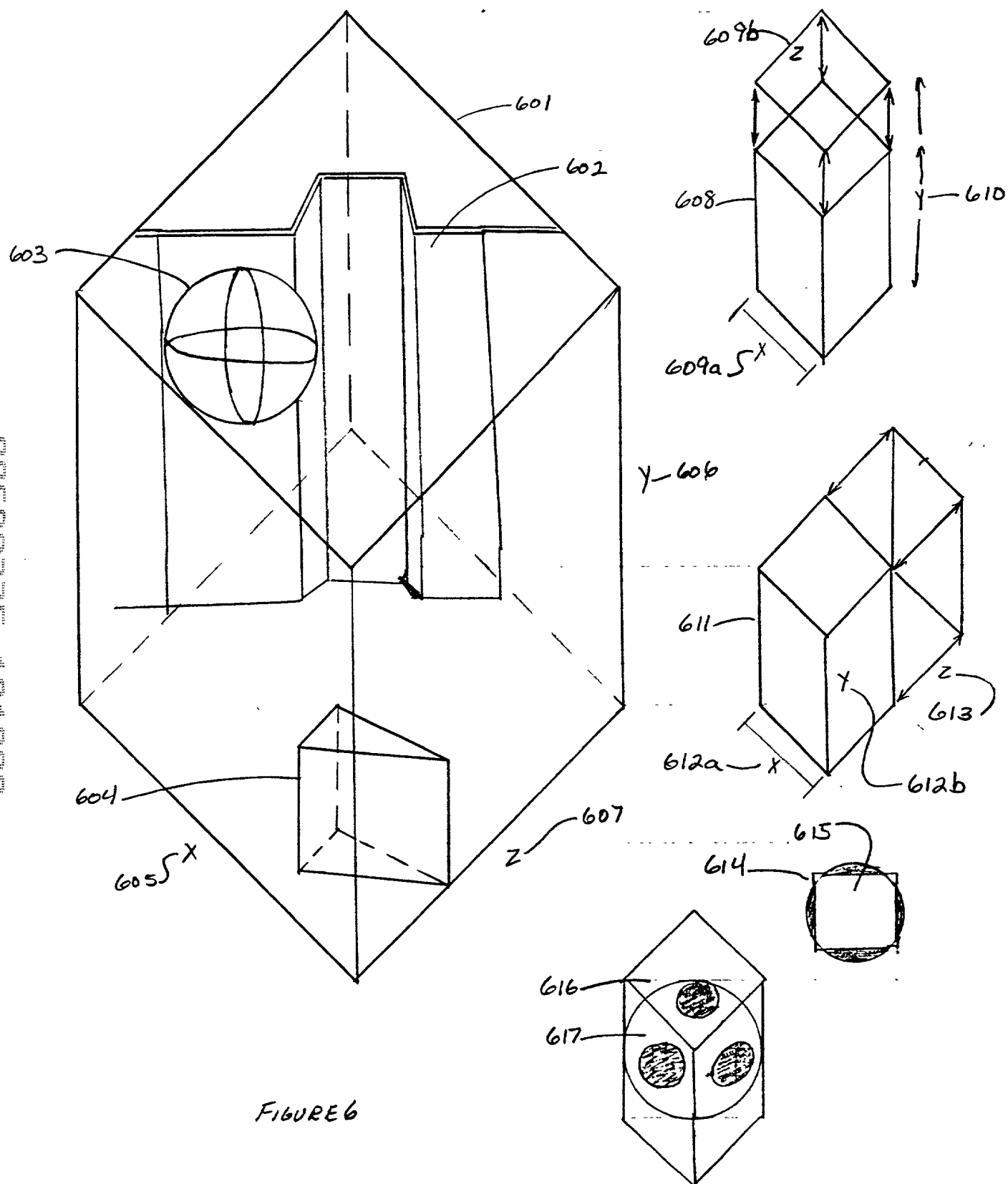


FIGURE 6

200707-3226960

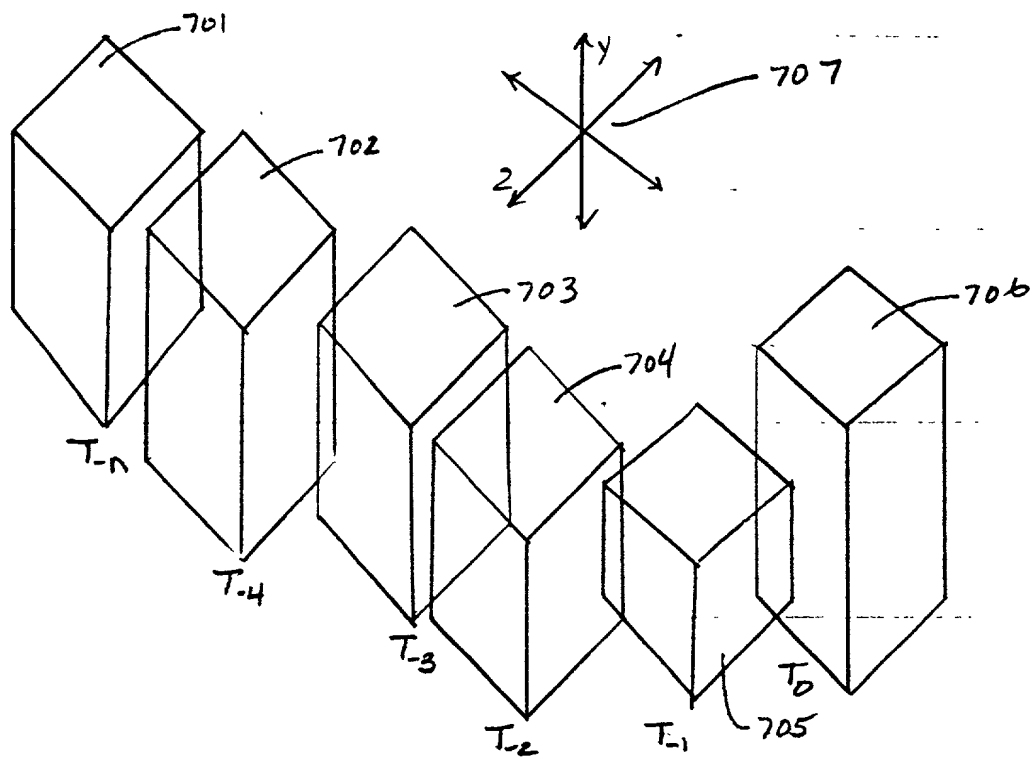


FIGURE 7

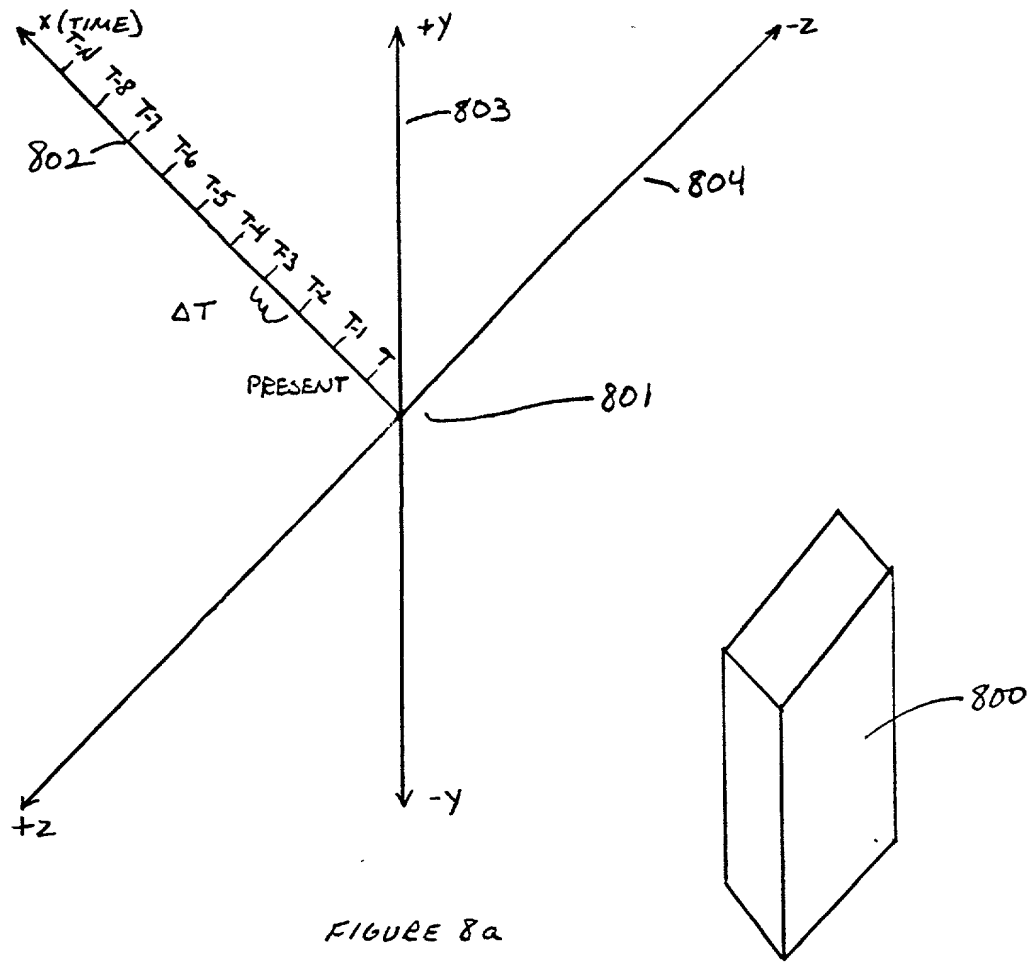


FIGURE 8a

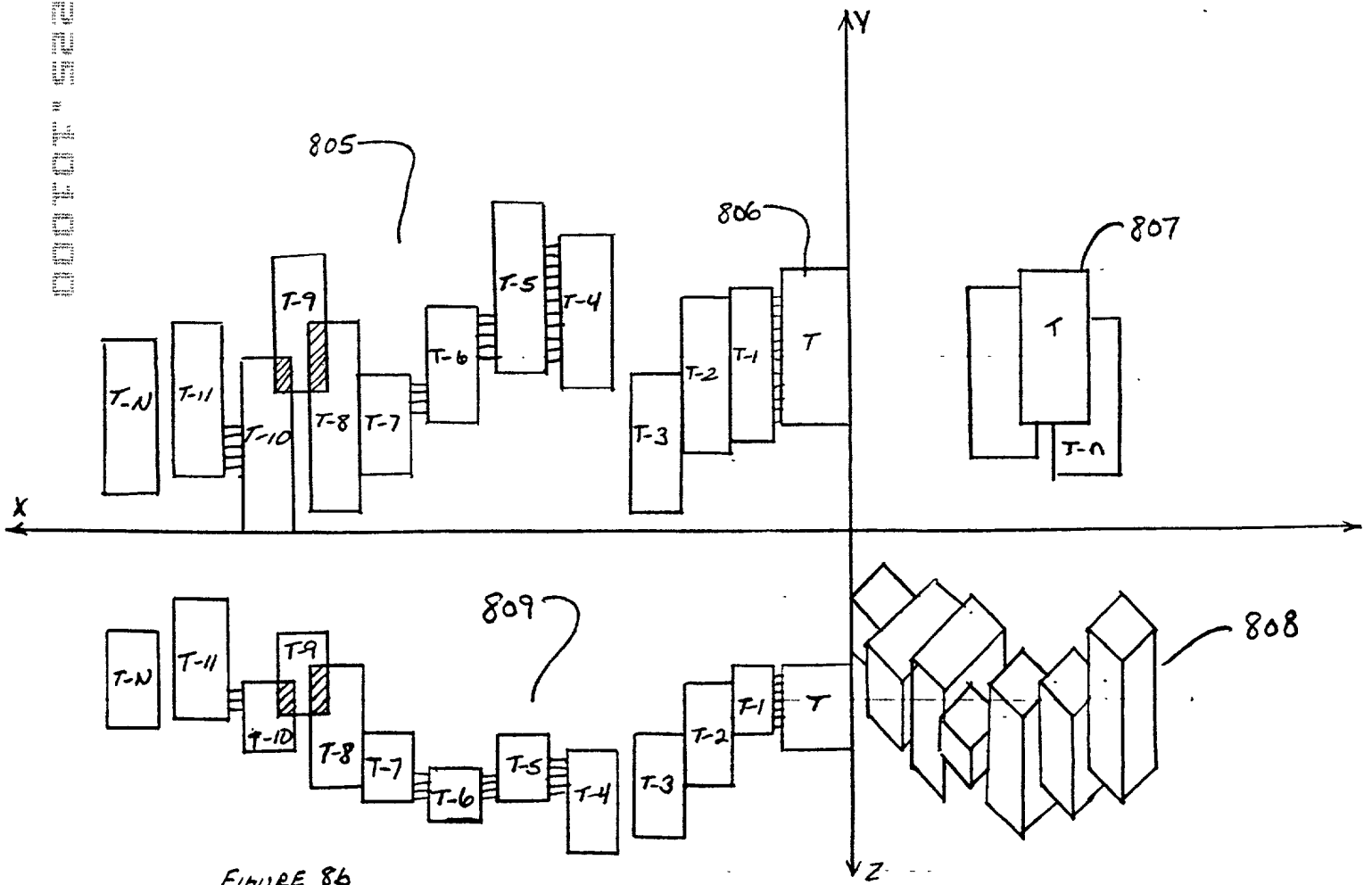


FIGURE 8b

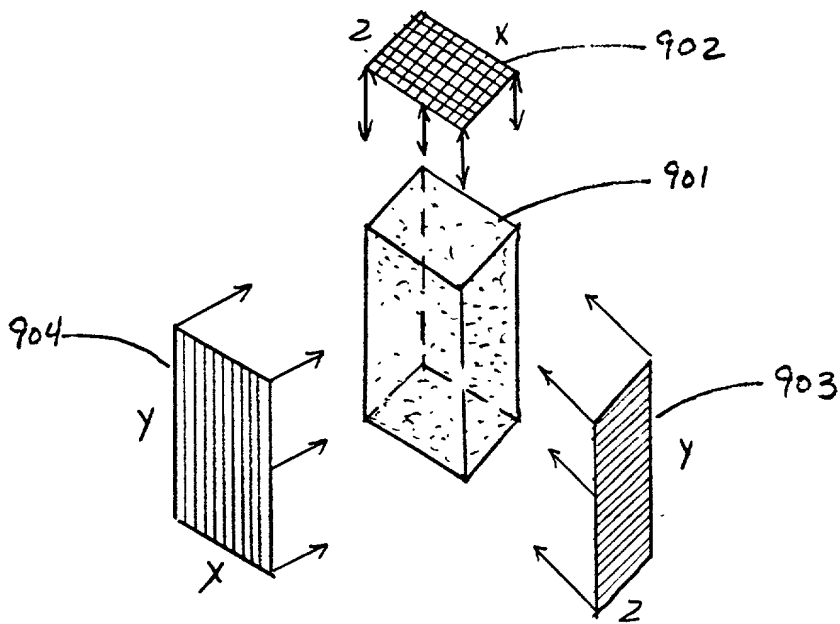


FIGURE 9a

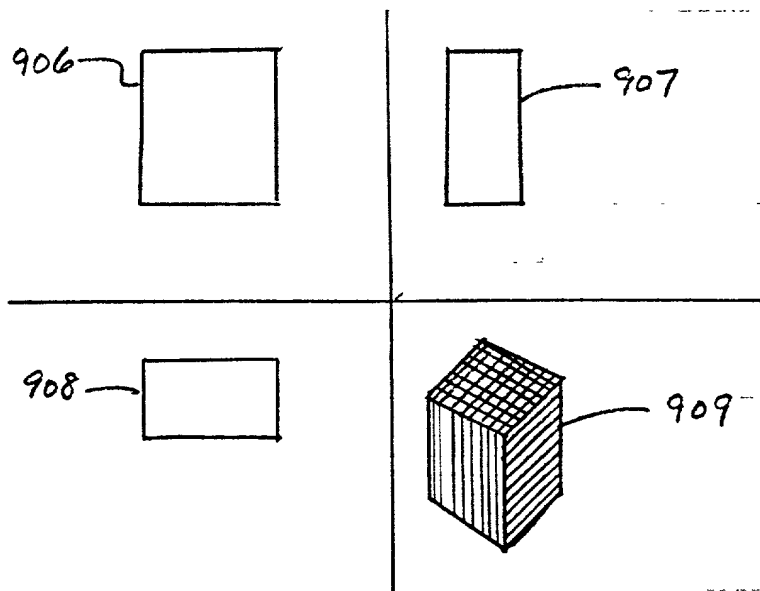


FIGURE 9b

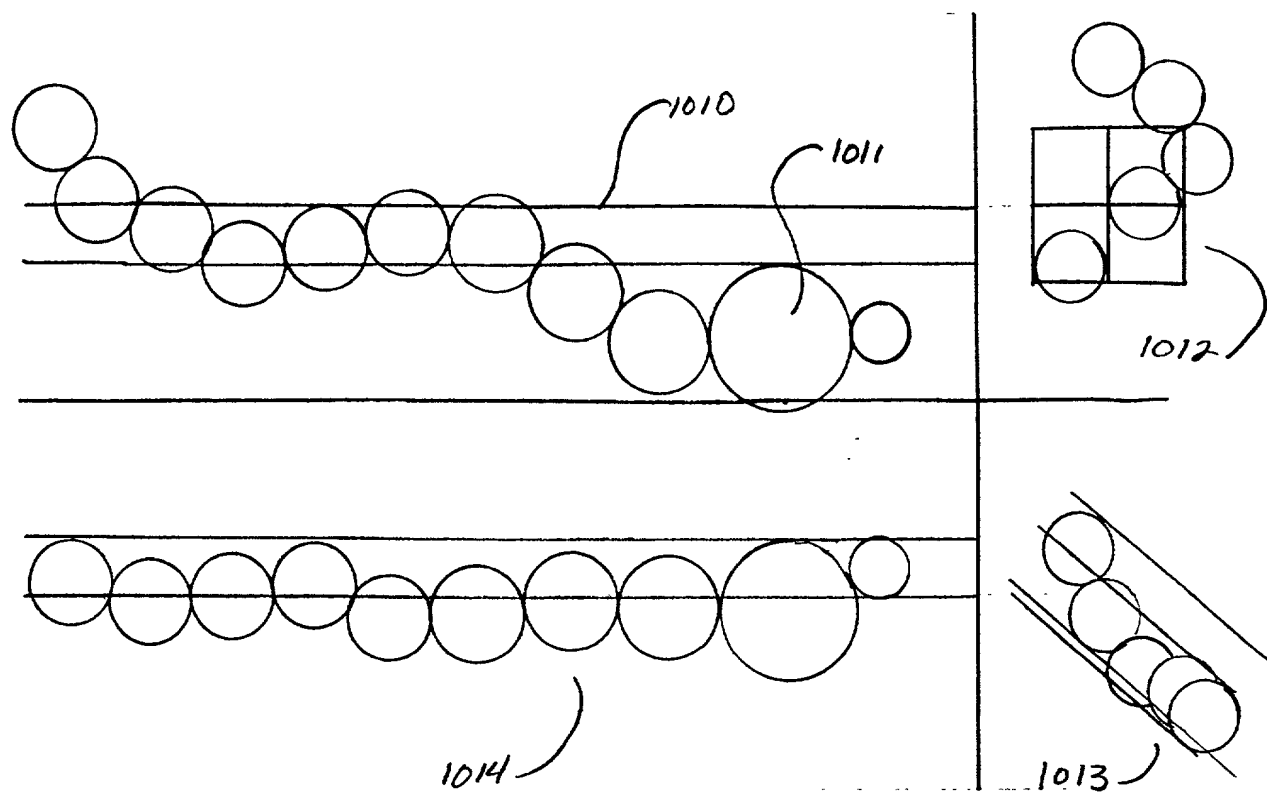
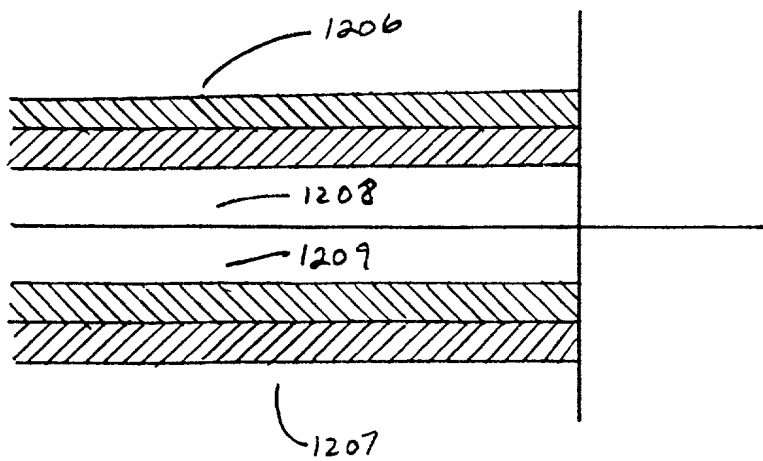
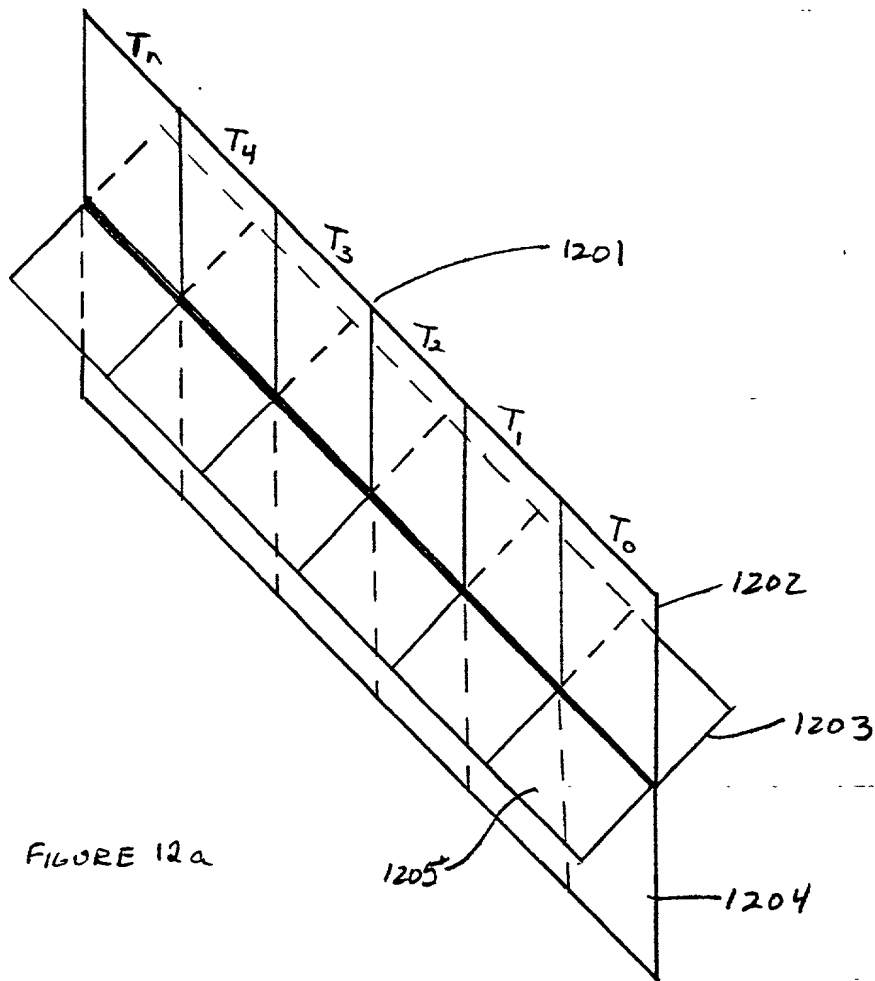
[illegible]

FIGURE 10



INTERFACE MODE I
(e.g. MEDICINE)

GIVEN: - CRITICAL FUNCTIONS

(UNCHANGEABLE)

- PHYSIOLOGIC DATA COLLECTED
- SYMBOLIC SYSTEM STANDARD
- REFERENTIAL FRAMEWORK
IDEAL VALUES/ALARMS

(CHANGEABLE)

- PARTICULAR VALUES
- OBJECT ATTRIBUTES

1301

INTERFACE MODE II
(e.g. CORPORATE DASHBOARD)

GIVEN:

- DEFAULT / GENERIC L-SPACE/H-SPACE

USER
DETERMINES

- CRITICAL FUNCTION
- VITAL SIGNS TO BE COLLECTED
- SYMBOLIC SYSTEM TO BE USED
- IDEAL VALUES/ALARMS
- OBJECTS/ATTRIBUTES SPACE

1302

COMMON INTERFACE FEATURES

- L-SPACE
- H-SPACE
- ZOOM/SPEED
- VIEWPOINTS

FIGURE 13

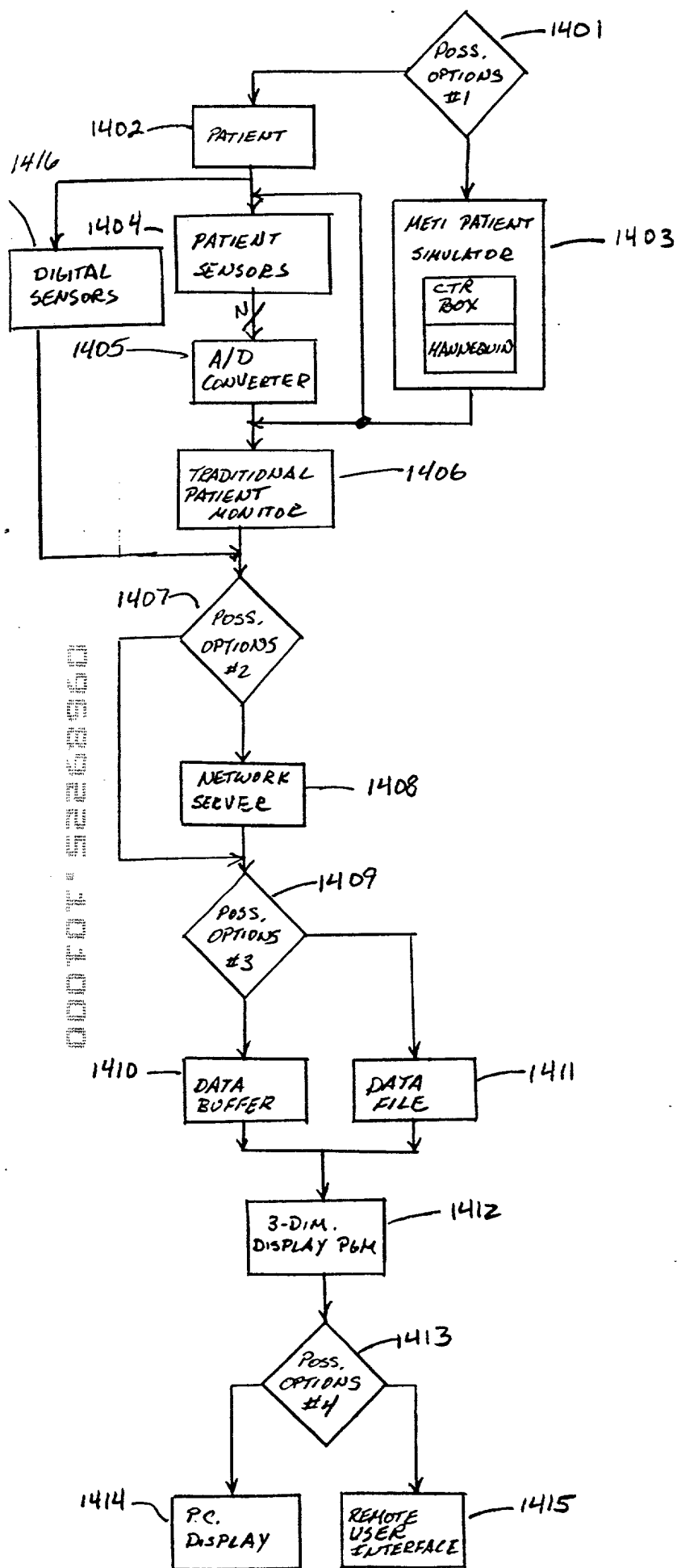


FIGURE 14

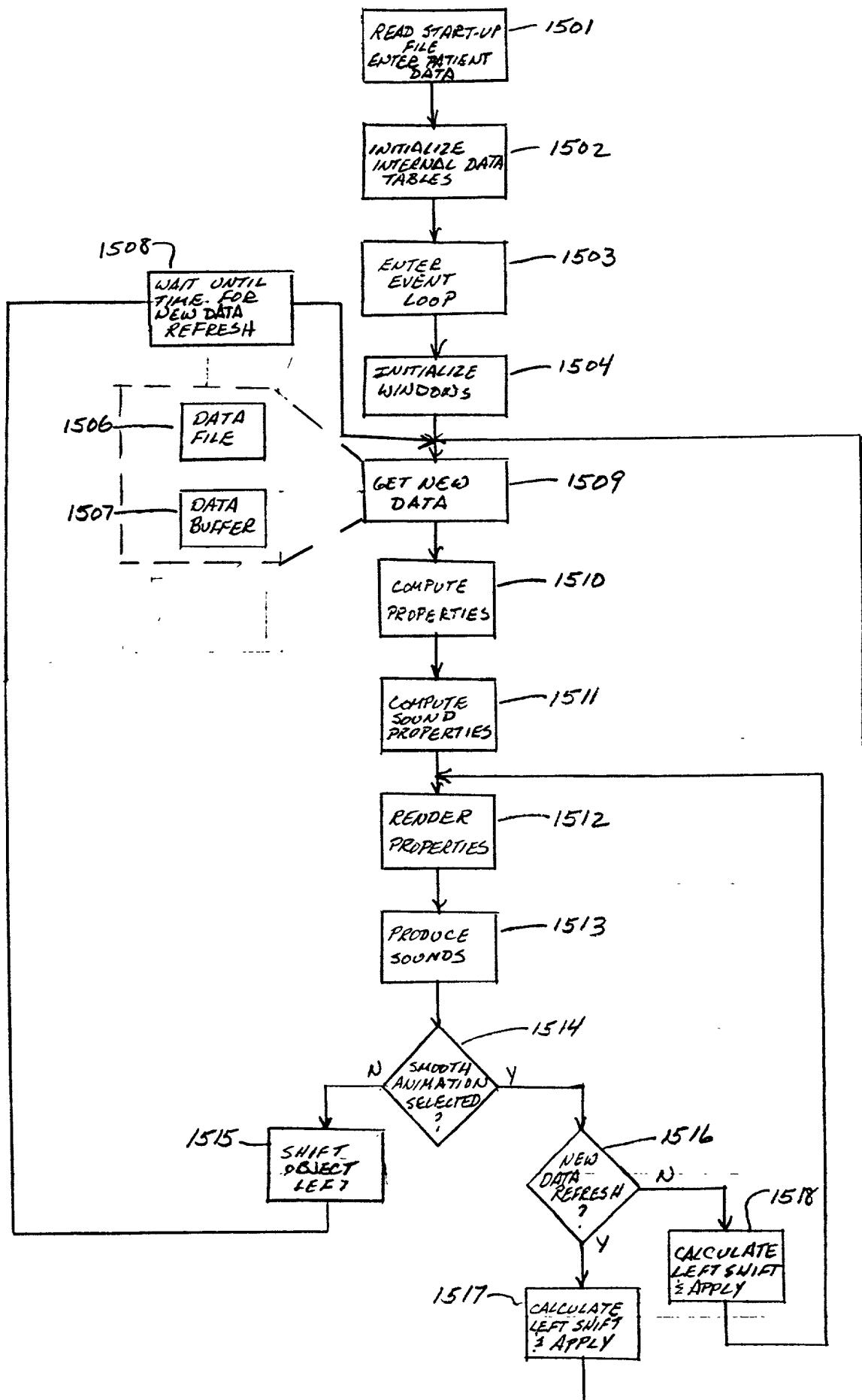


FIGURE 15

Variable	Mean	Standard Deviation	Minimum	Maximum
Age	34.5	10.5	20	55
Gender	0.5	0.5	0	1
Marital Status	0.5	0.5	0	1
Education	12.5	1.5	10	15
Income	3500	1500	1000	7000
Health	0.5	0.5	0	1
Smoking	0.2	0.4	0	1
Alcohol	0.1	0.3	0	1
Exercise	0.3	0.5	0	1
Stress	0.4	0.5	0	1
Sleep	0.5	0.5	0	1
Work	0.5	0.5	0	1
Family	0.5	0.5	0	1
Friends	0.5	0.5	0	1
Community	0.5	0.5	0	1
Religion	0.5	0.5	0	1
Politics	0.5	0.5	0	1
Art	0.5	0.5	0	1
Music	0.5	0.5	0	1
Reading	0.5	0.5	0	1
Travel	0.5	0.5	0	1
Volunteering	0.5	0.5	0	1
Charitable	0.5	0.5	0	1
Philanthropy	0.5	0.5	0	1
Environment	0.5	0.5	0	1
Animals	0.5	0.5	0	1
Gardening	0.5	0.5	0	1
Fishing	0.5	0.5	0	1
Hiking	0.5	0.5	0	1
Cycling	0.5	0.5	0	1
Swimming	0.5	0.5	0	1
Boating	0.5	0.5	0	1
Shopping	0.5	0.5	0	1
Dining	0.5	0.5	0	1
Traveling	0.5	0.5	0	1
Learning	0.5	0.5	0	1
Teaching	0.5	0.5	0	1
Writing	0.5	0.5	0	1
Speaking	0.5	0.5	0	1
Listening	0.5	0.5	0	1
Thinking	0.5	0.5	0	1
Feeling	0.5	0.5	0	1
Believing	0.5	0.5	0	1
Knowing	0.5	0.5	0	1
Understanding	0.5	0.5	0	1
Remembering	0.5	0.5	0	1
Imagining	0.5	0.5	0	1
Creating	0.5	0.5	0	1
Inventing	0.5	0.5	0	1
Discovering	0.5	0.5	0	1
Exploring	0.5	0.5	0	1
Investigating	0.5	0.5	0	1
Researching	0.5	0.5	0	1
Studying	0.5	0.5	0	1
Working	0.5	0.5	0	1
Playing	0.5	0.5	0	1
Resting	0.5	0.5	0	1
Sleeping	0.5	0.5	0	1
Eating	0.5	0.5	0	1
Drinking	0.5	0.5	0	1
Smoking	0.5	0.5	0	1
Alcohol	0.5	0.5	0	1
Exercise	0.5	0.5	0	1
Stress	0.5	0.5	0	1
Sleep	0.5	0.5	0	1
Work	0.5	0.5	0	1
Family	0.5	0.5	0	1
Friends	0.5	0.5	0	1
Community	0.5	0.5	0	1
Religion	0.5	0.5	0	1
Politics	0.5	0.5	0	1
Art	0.5	0.5	0	1
Music	0.5	0.5	0	1
Reading	0.5	0.5	0	1
Travel	0.5	0.5	0	1
Volunteering	0.5	0.5	0	1
Charitable	0.5	0.5	0	1
Philanthropy	0.5	0.5	0	1
Environment	0.5	0.5	0	1
Animals	0.5	0.5	0	1
Gardening	0.5	0.5	0	1
Fishing	0.5	0.5	0	1
Hiking	0.5	0.5	0	1
Cycling	0.5	0.5	0	1
Swimming	0.5	0.5	0	1
Boating	0.5	0.5	0	1
Shopping	0.5			

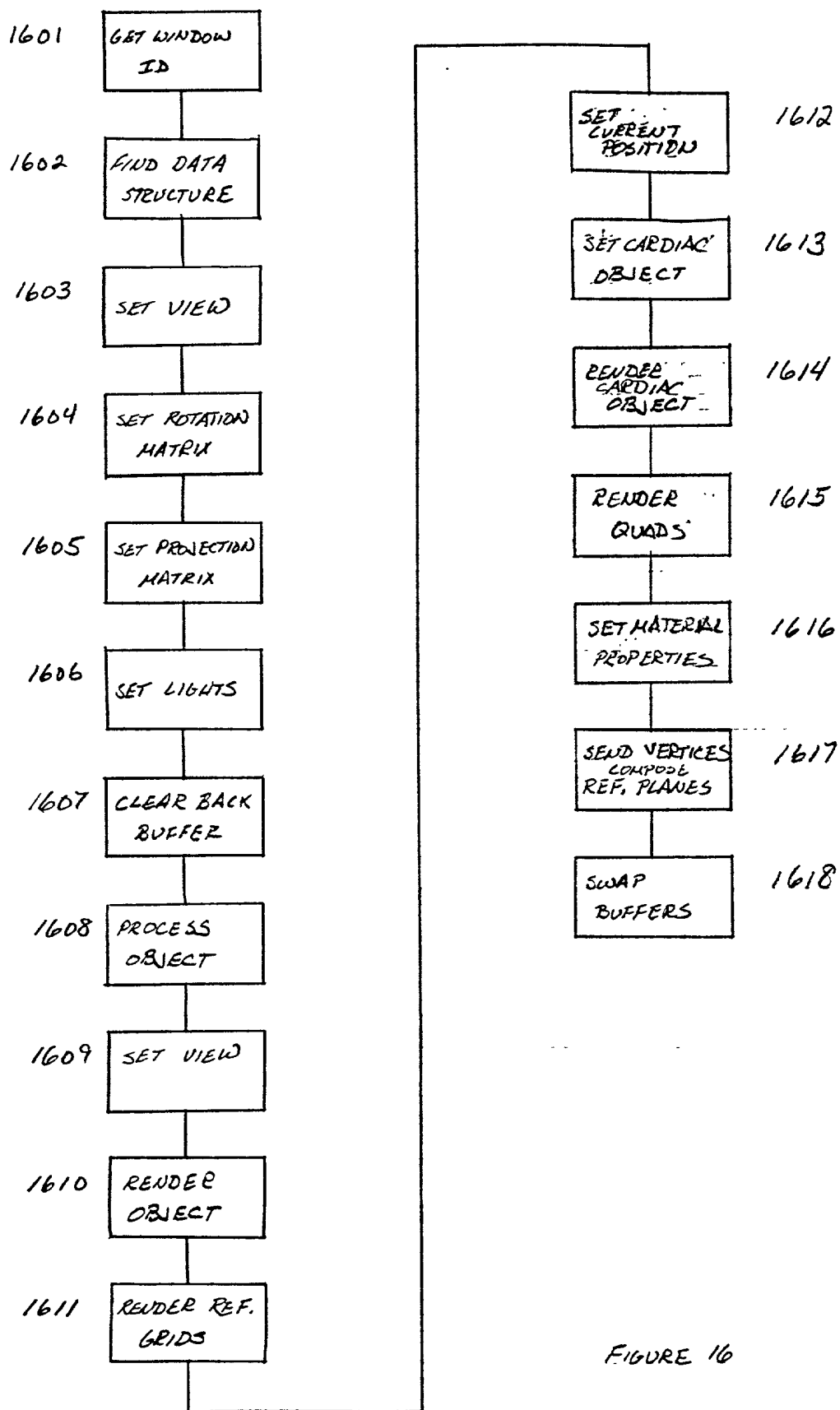


FIGURE 16

1701

Front View



Base indicate SBF and DBP

Object X=H R Z=SV Y=Other

y/z

at

Expiration

Inspiration

z/y

Top View

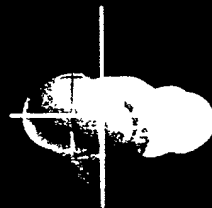


Color saturation of spheres indicates SaO2

1703

1702

Side View



Carbon Dioxide

(1704)

3D View



1706

FIGURE 17

OBJECT 335960

1806

1800

1801

1802

Object View

Systolic blood pressure level

Reference grid shows optimum efficiency

Small bars penetrating sphere show blood pressures

diastolic blood pressure level

Efficiency of heart

X=Heart Rate
Y=Stroke Volume
Shape corresponds to

1807

1805

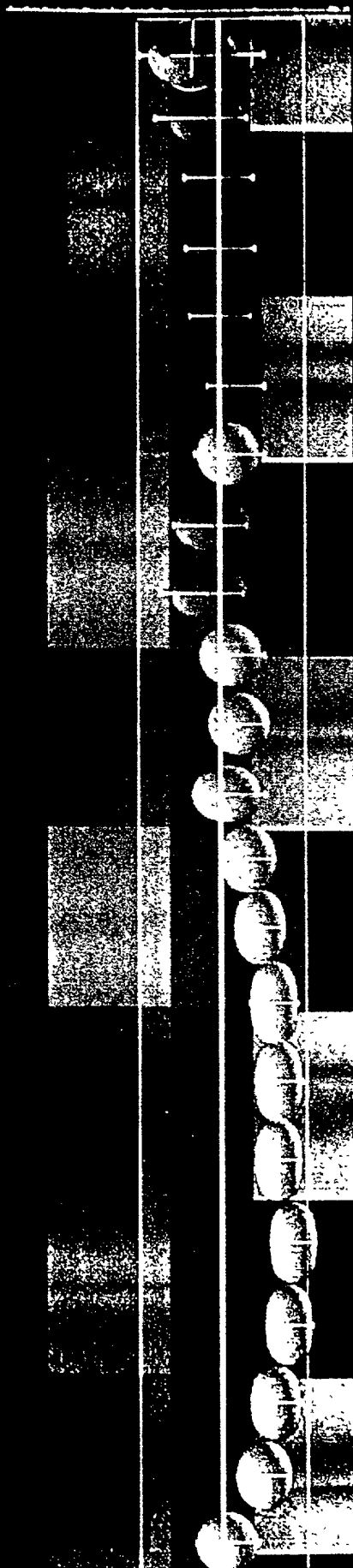
1803

1804

FIGURE 18

1900

Front View



X = Time

Y = Mean Blood Pressure

Grid Lines show upper and lower values

$$y \sqrt{\frac{z}{x}}$$

Background shows levels of carbon dioxide and oxygen during inhalation and exhalation

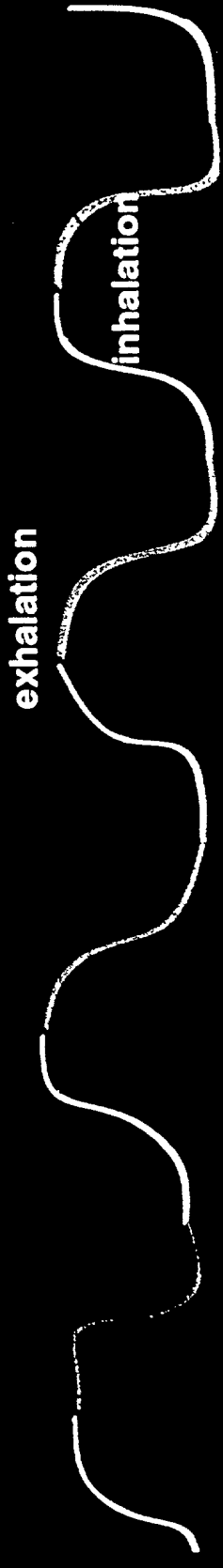
1901

FIGURE 19

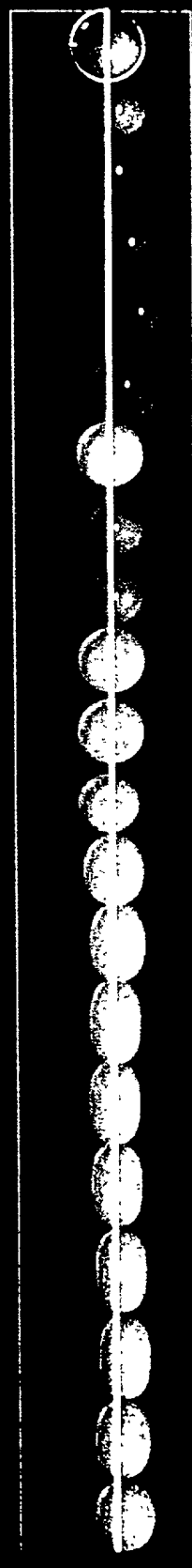
000000 52268960

2003

Top View



2002



X = Time
Z = SaO2 Content
White portion shows upper and lower values
Respiratory rate seen as wave-form
 $z \sqrt{\frac{y}{x}}$

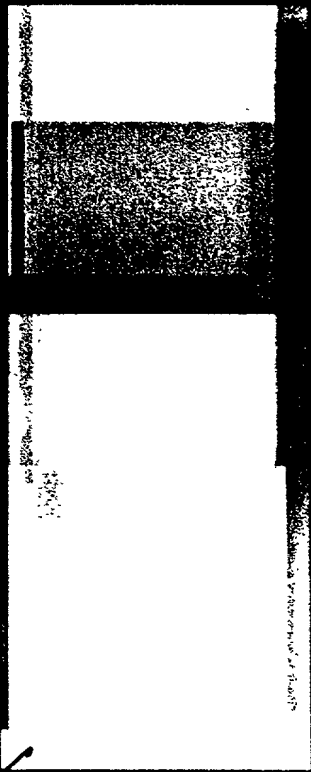
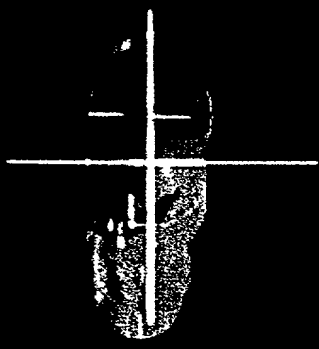
2001

FIGURE 20

2100

Side View

Deviations from ideal
are easily seen



$$\frac{y}{x} \frac{z}{z}$$

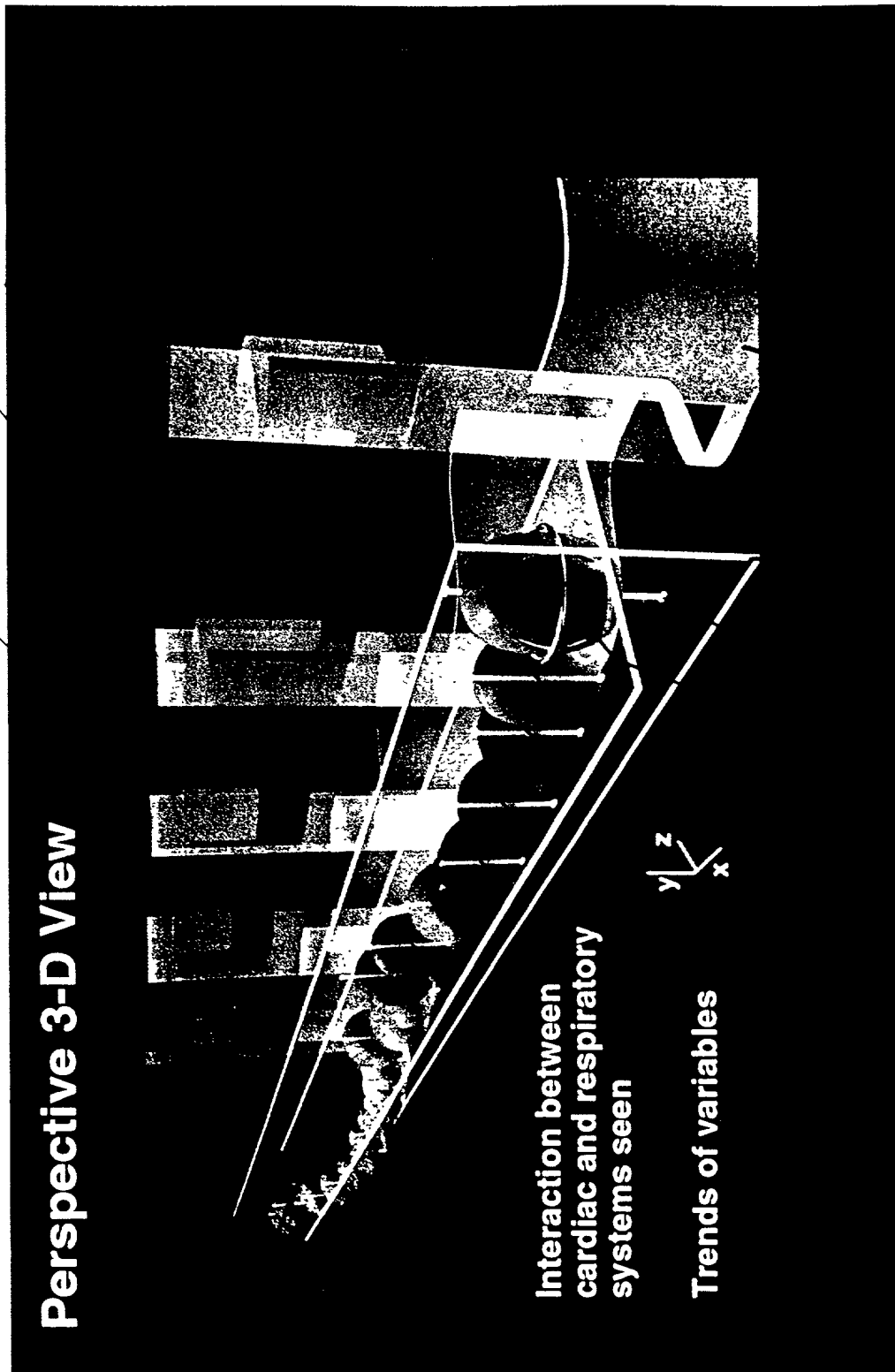
Percentage of gases in
lungs can be seen

2102

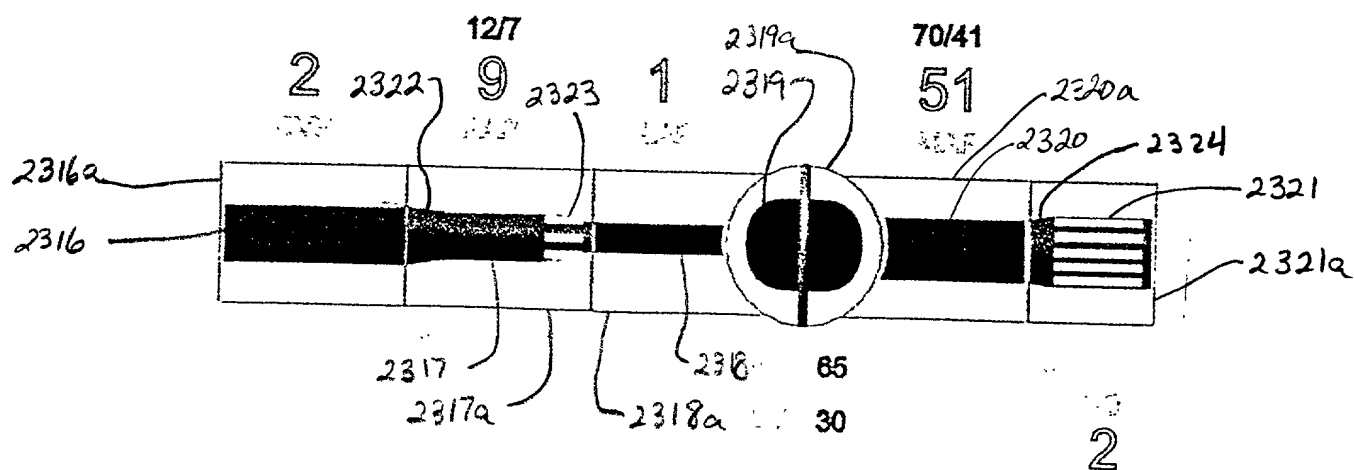
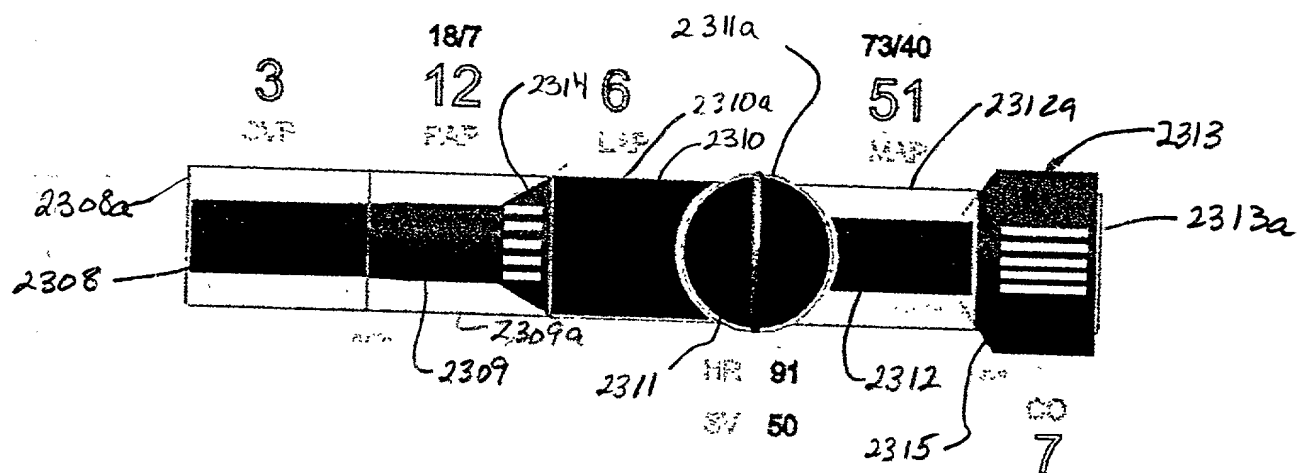
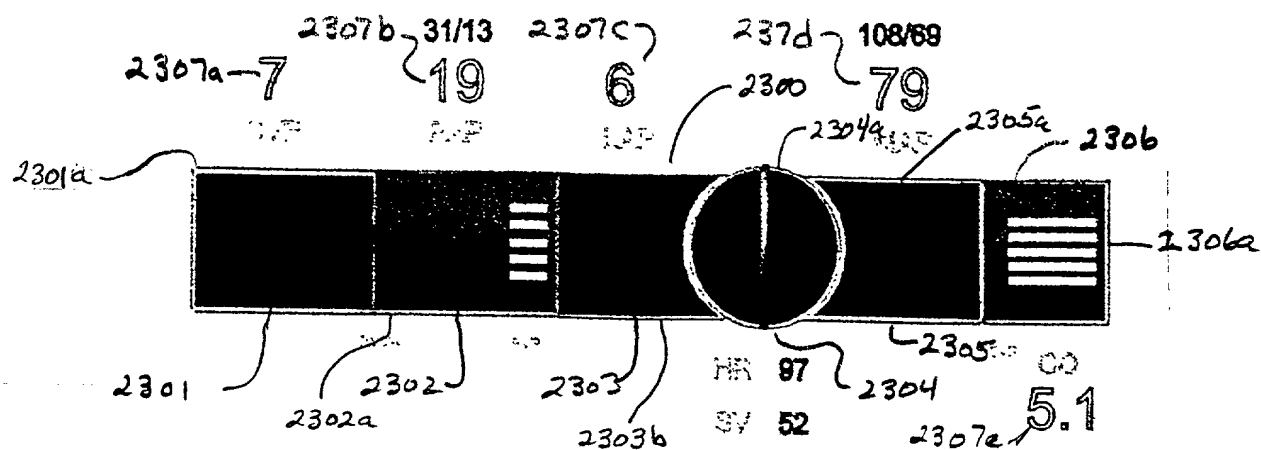
2103

FIGURE 21

2200 2204 2205



2207



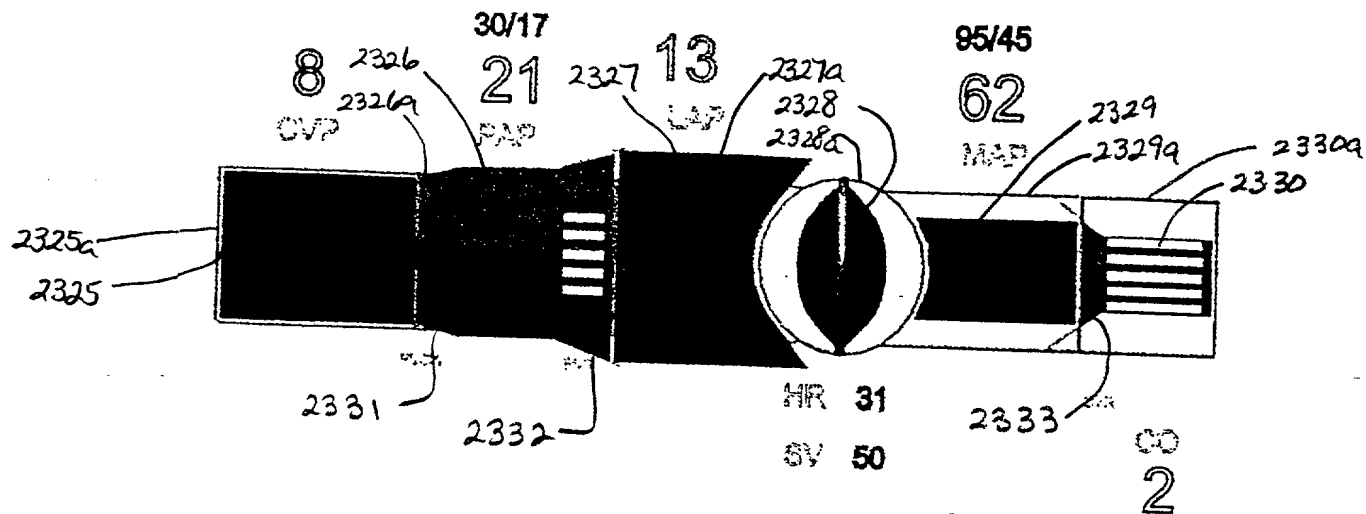


FIGURE 23d

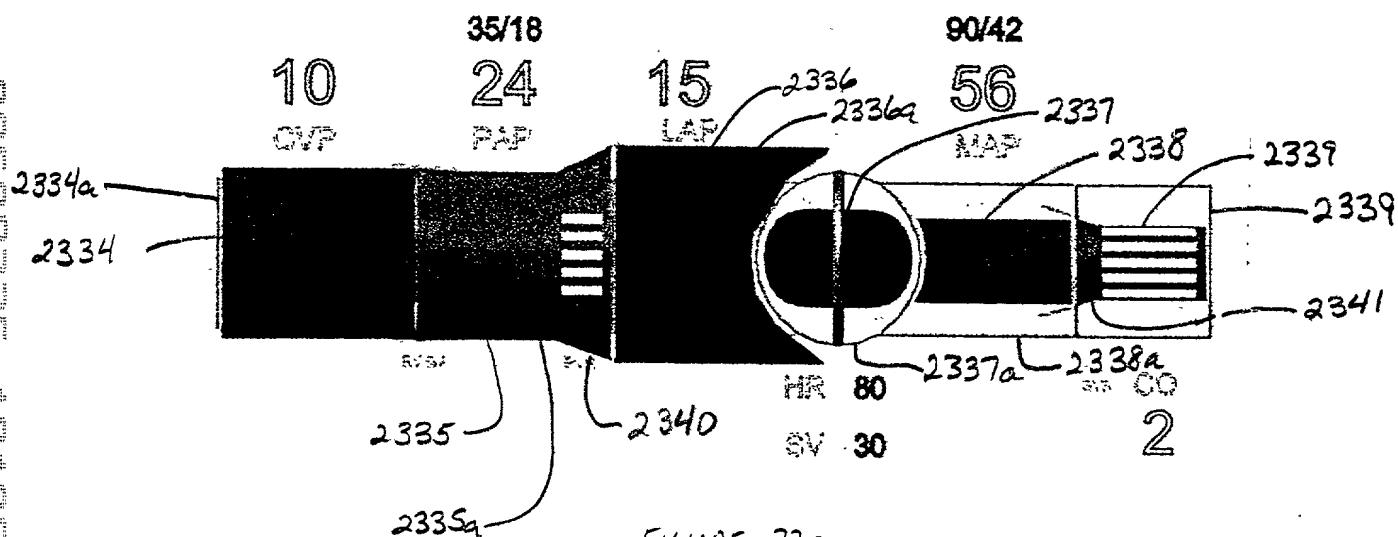


FIGURE 23e

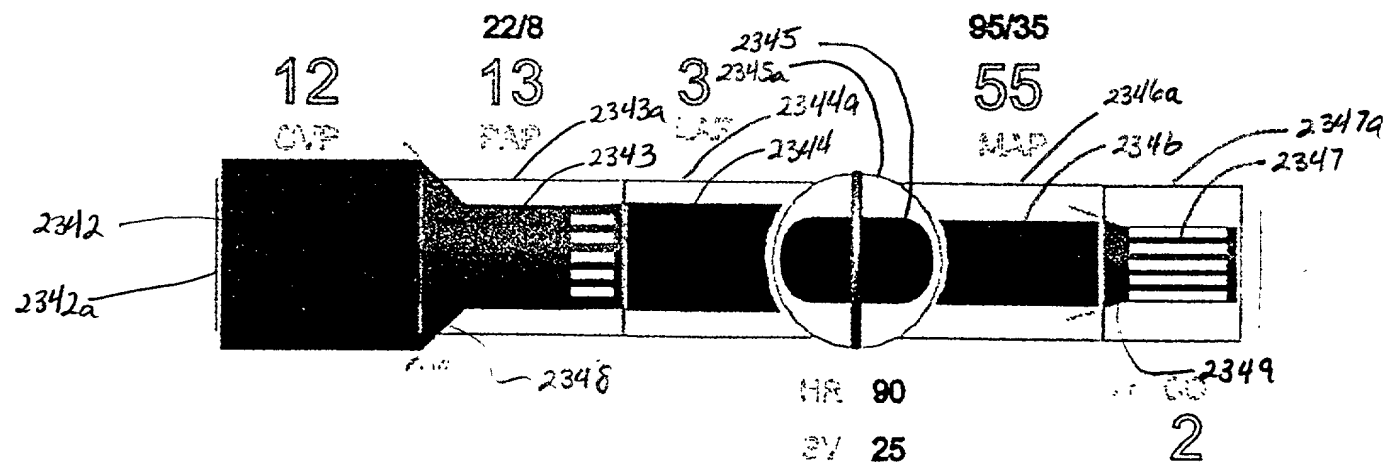
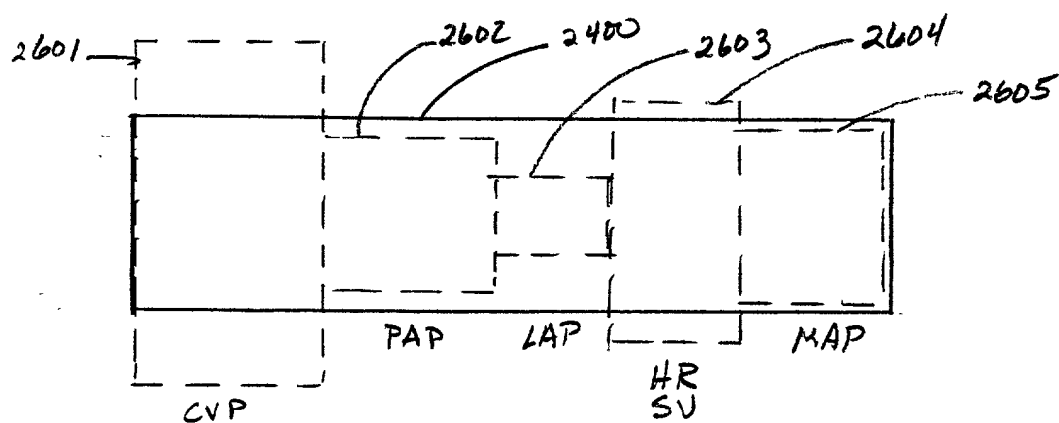
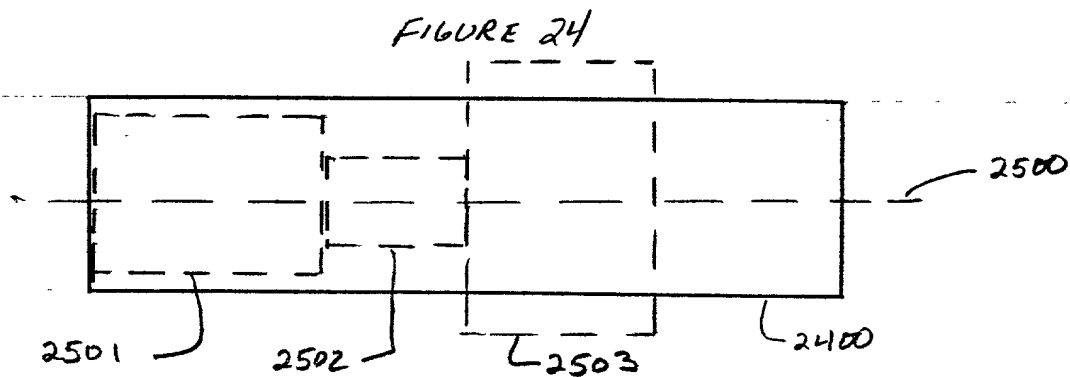
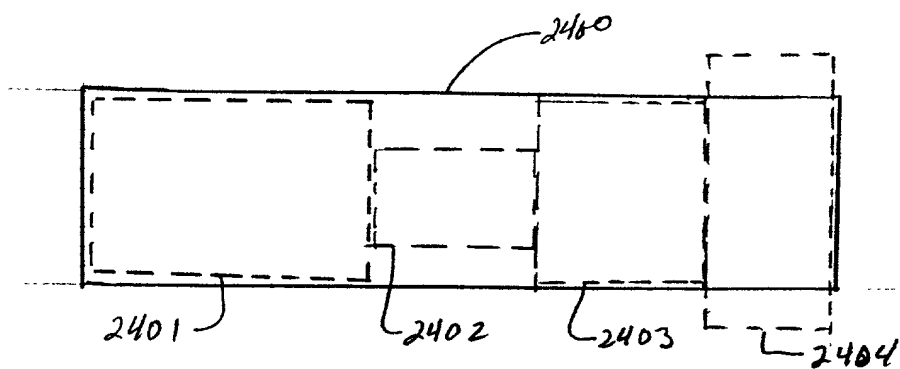


FIGURE 23f

EK916940717US



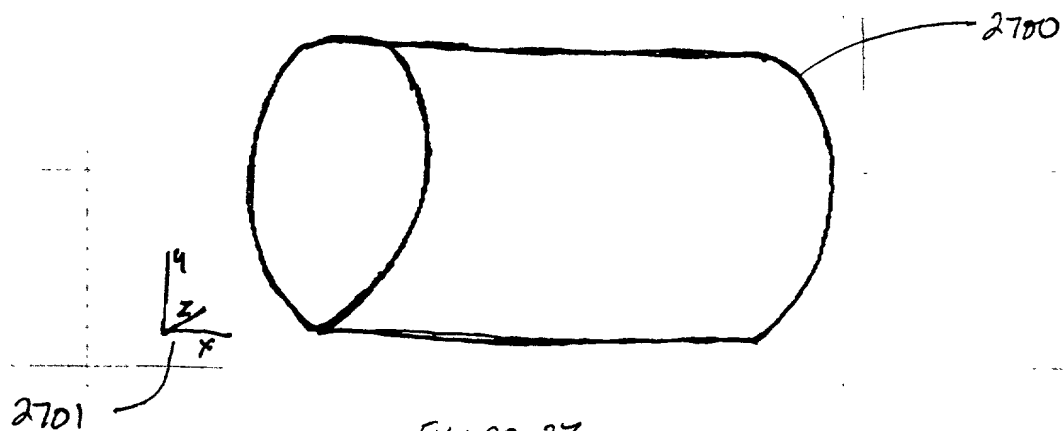
[illegible]

FIGURE 27

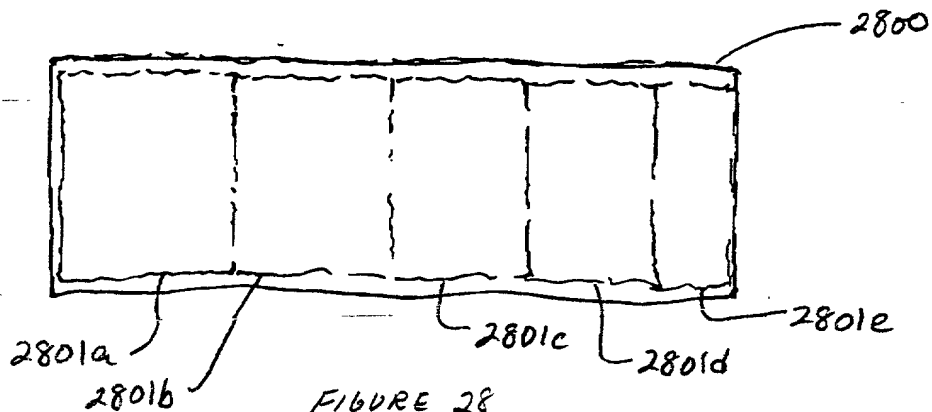


FIGURE 28

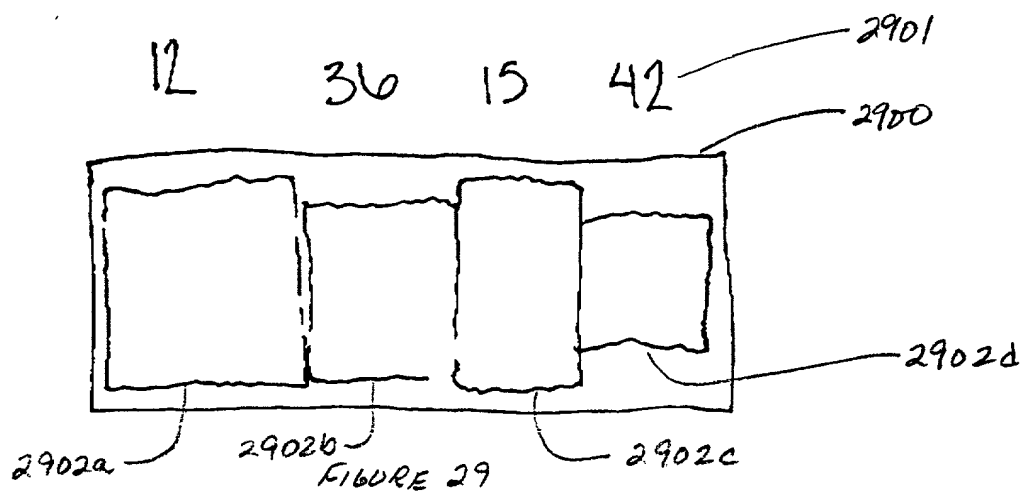


FIGURE 29

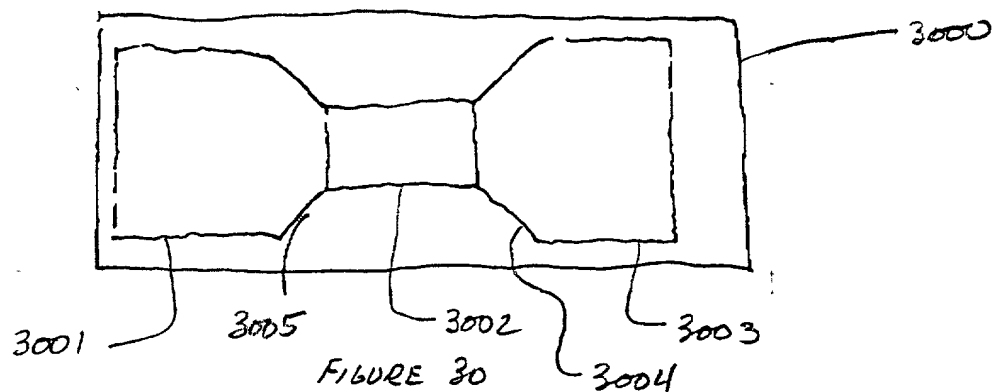


FIGURE 30

INVENTORS:	James Agutter Dwayne R. Westenskow Noah Syroid Julio C. Bermudez Yinqi Zhang
ASSIGNEE:	University of Utah
SERIAL NUMBER:	n/a
DATE FILED:	n/a
TITLE:	METHOD AND APPARATUS FOR MONITORING DYNAMIC CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS OF CRITICAL FUNCTIONS
ATTORNEY DOCKET:	4315 P

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, DC 20231

DECLARATION FOR PATENT APPLICATION

Honorable Assistant Commissioner:

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD AND APPARATUS FOR MONITORING DYNAMIC CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS OF CRITICAL FUNCTIONS** the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby appoint Lloyd W. Sadler (Reg. No. 40,154) and Daniel P. McCarthy (Reg. No.

36,600) as my representatives and attorneys or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. All communications should be directed to Mr. Sadler at the following address or telephone number:

Lloyd W. Sadler
MCCARTHY & SADLER, LC
39 Exchange Place, Suite 100
Salt Lake City, Utah 84111
(801) 323-9399

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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City: Salt Lake City
State: Utah

Inventor's Signature: _____

Date: _____

Full name of inventor: Dwayne R. Westenskow

Residence of inventor:

Address: 3439 Winesap Road
City: Salt Lake City
State: Utah
Citizenship: U.S.A.

Post Office Address of inventor:

[illegible]

Date: _____

Residence of inventor:

Post Office Address of inventor:

Inventor's Signature: _____

Date: _____

Residence of inventor:

Post Office Address of inventor:

Inventor's Signature: _____

Date: _____

Full name of inventor: Yinqi Zhang

Residence of inventor:

Address: 402 University Village
City: Salt Lake City
State: Utah
Citizenship: People's Republic of China

Post Office Address of inventor:

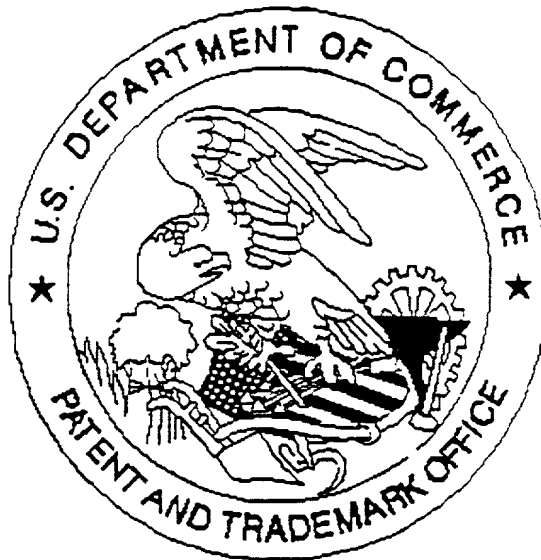
Address: 402 University Village
City: Salt Lake City
State: Utah

Inventor's Signature: _____

Date: _____

2025-07-23 14:30:00

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Office of Initial Patent Examination -- Scanning Division



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for scanning. (Document title)

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for scanning. (Document title)

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